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In the Supreme Court of the United States

OCTOBER TERM, 1984

THE BOARD OF PUBLIC EDUCATION FOR THE CITY OF SAVANNAH AND THE COUNTY OF CHATHAM, et al.,
Petitioners,

vs.

GEORGIA ASSOCIATION OF RETARDED CITIZENS, et al.,
Respondents.

PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE ELEVENTH CIRCUIT

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140 pp

QUESTIONS PRESENTED

(1) Whether the Education for All Handicapped Children Act of 1975, as amended, 20 U.S.C. §§ 1401-1415, requires the provision of education services in excess of the 180-day school year.

(2) Whether exhaustion of the administrative procedures provided under the Education for All Handicapped Children Act, as amended, 20 U.S.C. § 1415, are prerequisite to relief under the Act.

(3) Whether a class action must be dismissed if the only class member jurisdictionally qualified to bring suit voluntarily moots his claim.

PARTIES TO THE PROCEEDING IN THE COURT OF APPEALS

The parties to the proceeding in the Court of Appeals were as follows:

Plaintiffs-Appellees, Cross-Appellants

Georgia Association of Retarded Citizens, individually and in behalf of its members and class,

Russell Caine, individually and in behalf of others similarly situated, by and through his parents and next friends, L. Douglas Caine and Virginia Caine, and

L. Douglas Caine and Virginia Caine, individually and in behalf of others similarly situated.

State Defendants-Appellants, Cross-Appellees

Dr. Charles McDaniel, in his official capacity as State Superintendent of Schools, and individually,

Roy A. Hendricks, A.J. McClung, Mrs. Saralyn Oberdorfer, James F. Smith, Hollis Lathem, Thomas K. Vann, Jr., Pat. G. Kjorlaug, Larry A. Foster, Sr., Asbury Stembridge, and Carolyn Huseman, in their official capacities as State Board of Education Members, and individually, and the

State Board of Education of the State of Georgia

Local (i.e., Savannah-Chatham County) Defendants-Appellants, Cross-Appellees

Dr. Sylvester Rains, in his official capacity as Superintendent of the Savannah-Chatham County Board of Education and individually,

Dr. Donald Knapp, Dr. Martha Fay, Rev. William F. Stokes, II, Emma Adler, Captain Robert Funk, Ester F. Garrison, Spencer Lawton, Jr., Betty McClenden, and Dr. Robert Moreland, Jr., in their official capacities as members of the Savannah-Chatham Board of Education and individually, and the

Savannah-Chatham Board of Education.

TABLE OF CONTENTS

QUESTIONS PRESENTED I
PARTIES TO THE PROCEEDING IN THE COURT OF APPEALS II
OPINIONS BELOW 1
JURISDICTION 2
CONSTITUTIONAL PROVISIONS INVOLVED 3
STATUTORY PROVISIONS INVOLVED 3
STATEMENT OF THE CASE 9
REASONS FOR GRANTING THE PETITION FOR WRIT OF CERTIORARI—

I. The Decision Of The Eleventh Circuit Conflicts With Recent Decisions Of This Court Concerning A School Board's Duty To Provide Services Under The Handicapped Act 13

a. Pennhurst v. Halderman 13
b. Board of Education v. Rowley 15

II. There Is No Case Of Controversy Between The County And Any Class Member, And The County Must Be Dismissed From The Litigation Under Article III 17

CONCLUSION 19

APPENDIX—

Opinion of the Court of Appeals 1a
District Court's Order on Class Certification and Preliminary Injunction 1b
Decision of the District Court 1c
Order of Court 50c
Order of the District Court on Motion to Alter or Amend the Judgment 1d

Judgment of Court of Appeals 1e
Court of Appeals' Denial of Motion for Rehearing 1f
Grant of Certiorari and Order Remanding Cause and Vacating Judgment 1g
Opinion of the Court of Appeals on Remand 1h

TABLE OF AUTHORITIES

Cases

Bales v. Clarke, 523 F.Supp. 1366 (E.D. Va. 1981) 16
Battle v. Pennsylvania, 629 F.2d 269 (3rd Cir. 1980), *cert. denied*, 452 U.S. 968 (1981) 16
Board of Education v. Rowley, ... U.S. ....., 102 S.Ct. 3034 (1982) 15, 16, 19
Crawford v. Pittman, 708 F.2d 1028 (5th Cir. 1983) 16
FCC v. Schreiber, 381 U.S. 279 (1965) 17
Franks v. Bowman Transportation Co., 424 U.S. 747 (1976) 18
Garrity v. Gallen, 522 F.Supp. 171 (D. N.H. 1981) 16
Georgia Association of Retarded Citizens v. McDaniel, 511 F.Supp. 1263 (N.D. Ga. 1981) 2, 11
Georgia Association of Retarded Citizens v. McDaniel, 716 F.2d 1565 (11th Cir. 1983) 1-2, 14
Georgia Association of Retarded Citizens v. McDaniel, 721 F.2d 822 (1983) 2
Indiana Employment Security Division v. Burney, 409 U.S. 540 (1973) 19
Pennhurst State School v. Halderman, 451 U.S. 1 (1981) 13, 14, 19, 20
Schlude v. Commissioner, 372 U.S. 128 (1963) 15

Smith v. Robinson, 468 U.S. ....., 104 S.Ct. 3457 (1984) 12
Steelworkers v. Usery, 429 U.S. 305 (1977) 16
Stubbs v. Kline, 463 F.Supp. 110 (W.D. Pa. 1978) 17
United States v. Powell, 330 U.S. 238 (1947) 16
Yaris v. Special School District of St. Louis County, 558 F.Supp. 545 (E.D. Mo. 1983) 16

Constitutions

U.S. Const., Amend. 14 11
U.S. Const., Art. I, Sec. 1 3
U.S. Const., Art. III, Sec. 1 3
U.S. Const., Art. III, Sec. 2 .....3, 17

Statutes

20 U.S.C. § 1401 .....3, 10
20 U.S.C. § 1412 .....5, 10
20 U.S.C. § 1415 .....*passim*
28 U.S.C. § 1254(1) 2
28 U.S.C. § 1343 12
29 U.S.C. § 794 .....*passim*
Ga. Code 32-601(a) *et seq.* 11

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Respondents.

PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE ELEVENTH CIRCUIT

Petitioners, the Board of Education for the City of Savannah and the County of Chatham [Georgia], Dr. Sylvester Rains, Superintendent of said Board, and the nine individuals who comprise its membership, respectfully pray that a writ of certiorari issue to review the judgment and opinion of the United States Court of Appeals for the Eleventh Circuit entered in this action on September 4, 1984.

OPINIONS BELOW

The opinion of the United States Court of Appeals for the Eleventh Circuit is reported as *Georgia Association of*

Retarded Citizens v. McDaniel, 716 F.2d 1565 (11th Cir. 1983). Petitioners' Motion for Rehearing and Suggestion for Rehearing *En Banc* were denied by the Court of Appeals without further opinion on December 2, 1983. *Georgia Association of Retarded Citizens v. McDaniel*, 721 F.2d 822.

This Court's initial writ of certiorari and decision vacating and remanding the Eleventh Circuit's decision is reported at 82 L.Ed.2d 880 (1984). The Eleventh Circuit opinion modifying its earlier opinion is unreported.

In the district court, following an initial unreported opinion entered on July 12, 1979, denying Respondents' Motion for Preliminary Injunction, a decision on the merits was entered on April 3, 1981, and is reported as *Georgia Association of Retarded Citizens v. McDaniel*, 511 F.Supp. 1263 (N.D. Ga. 1981). The district court entered a subsequent opinion on May 14, 1981 (unreported), denying Respondents' Motion to alter or amend the district court's judgment. All of the above opinions and orders of the court of appeals and district court, reported and unreported, are included in the Appendix, along with the Judgment of the United States Court of Appeals for the Eleventh Circuit and its denial of Petitioners' Petition for Rehearing and Suggestion for Rehearing *En Banc*.

JURISDICTION

The judgment of the United States Court of Appeals for the Eleventh Circuit was entered on September 4, 1984. This Petition for a Writ of Certiorari is filed within the ninety days of the Court of Appeals' decision. The jurisdiction of this Honorable Court is invoked under 28 U.S.C. § 1254(1).

CONSTITUTIONAL PROVISIONS INVOLVED

U.S. Const., Art. I, Sec. 1:

> "All legislative Powers herein granted shall be vested in a Congress of the United States, which shall consist of a Senate and House of Representatives."

U.S. Const., Art. III, Sec. 1:

> "The judicial Power of the United States, shall be vested in one supreme Court, and in such inferior Courts as the Congress may from time to time ordain and establish. The Judges, both of the supreme and inferior Courts, shall hold their Offices during good Behavior, and shall, at stated Times, receive for their Services a Compensation which shall not be diminished during their Continuance in Office."

U.S. Const., Art. III, Sec. 2:

> "The judicial Power shall extend to all Cases, in Law and Equity, arising under this Constitution, the Laws of the United States, and Treaties made, or which shall be made, under their Authority; . . . ."

STATUTORY PROVISIONS INVOLVED

20 U.S.C. § 1401:

> "§ 1401. *Definitions*
>
> As used in this chapter—
>
> • • •
>
> (16) The term 'special education' means specially designed instruction, at no cost to parents or guard-

ians, to meet the unique needs of a handicapped child, including classroom instruction, instruction in physical education, home instruction, and instruction in hospitals and institutions.

(17) The term 'related services' means transportation, and such developmental, corrective, and other supportive services (including speech pathology and audiology, psychological services, physical and occupational therapy, recreation, and medical and counseling services, except that such medical services shall be for diagnostic and evaluation purposes only) as may be required to assist a handicapped child to benefit from special education, and includes the early identification and assessment of handicapping conditions in children.

(18) The term 'free appropriate public education' means special education and related services which (A) have been provided at public expense, under public supervision and direction, and without charge, (B) meet the standards of the State educational agency, (C) include an appropriate preschool, elementary, or secondary school education in the State involved, and (D) are provided in conformity with the individualized education program required under section 1414(a)(5) of this title.

(19) The term 'individualized education program' means a written statement for each handicapped child developed in any meeting by a representative of the local educational agency or an intermediate educational unit who shall be qualified to provide, or supervise the provision of, specially designed instruction to meet the unique needs of handicapped children, the teacher, the parents or guardian of such child, and, whenever appropriate, such child, which statement

shall include (A) a statement of the present levels of educational performance of such child, (B) a statement of annual goals, including short-term instructional objectives, (C) a statement of the specific educational services to be provided to such child, and the extent to which such child will be able to participate in regular educational programs, (D) the projected date for initiation and anticipated duration of such services, and (E) appropriate objective criteria and evaluation procedures and schedules for determining, on at least an annual basis, whether instructional objectives are being achieved."

20 U.S.C. § 1412:

"§ 1412. *Eligibility requirements*

In order to qualify for assistance under this subchapter in any fiscal year, a State shall demonstrate to the Commissioner that the following conditions are met:

(1) The State has in effect a policy that assures all handicapped children the right to a free appropriate public education."

* * *

(6) The State educational agency shall be responsible for assurance that the requirements of this subchapter are carried out and that all educational programs for handicapped children within the State, including all such programs administered by any other State or local agency, will be under the general supervision of the persons responsible for educational programs for handicapped children in the State educational agency and shall meet education standards of the State education agency."

20 U.S.C. § 1415:

§ 1415. *Procedural safeguards*

Establishment and Maintenance

(a) Any State educational agency, any local educational agency, and any intermediate educational unit which receives assistance under this subchapter shall establish and maintain procedures in accordance with subsection (b) through subsection (e) of this section to assure that handicapped children and their parents or guardians are guaranteed procedural safeguards with respect to the provision of free appropriate public education by such agencies and units.

Required procedures; hearing

(b) (1) The procedures required by this section shall include, but shall not be limited to—

(A) an opportunity for the parents or guardian of a handicapped child to examine all relevant records with respect to the identification, evaluation, and educational placement of the child, and the provision of a free appropriate public education to such child, and to obtain an independent educational evaluation of the child;

(B) procedures to protect the rights of the child whenever the parents or guardian of the child are not known, unavailable, or the child is a ward of the State, including the assignment of an individual (who shall not be an employee of the State educational agency, local educational agency, or intermediate educational unit involved in the education or care of the child) to act as a surrogate for the parents or guardian;

(C) written prior notice to the parents or guardian of the child whenever such agency or unit—

(i) proposes to initiate or change, or

(ii) refuses to initiate or change,

the identification, evaluation, or educational placement of the child or the provision of a free appropriate public education to the child;

(D) procedures designed to assure that the notice required by clause (C) fully inform the parents or guardian, in the parents' or guardian's native language, unless it clearly is not feasible to do so, of all procedures available pursuant to this section; and

(E) an opportunity to present complaints with respect to any matter relating to the identification, evaluation, or educational placement of the child, or the provision of a free appropriate public education to such child.

(2) Whenever a complaint has been received under paragraph (1) of this subsection, the parents or guardian shall have an opportunity for an impartial due process hearing which shall be conducted by the State educational agency or by the local educational agency or intermediate educational unit, as determined by State law or by the State educational agency. No hearing conducted pursuant to the requirements of this paragraph shall be conducted by an employee of such agency or unit involved in the education or care of the child.

Review of local decision by State educational agency

(c) If the hearing required in paragraph (2) of subsection (b) of this section is conducted by a local

educational agency or an intermediate educational unit, any party aggrieved by the findings and decision rendered in such a hearing may appeal to the State educational agency which shall conduct an impartial review of such hearing. The officer conducting such review shall make an independent decision upon completion of such review.

Enumeration of rights accorded parties to hearings

(d) Any party to any hearing conducted pursuant to subsections (b) and (c) of this section shall be accorded (1) the right to be accompanied and advised by counsel and by individuals with special knowledge or training with respect to the problems of handicapped children, (2) the right to present evidence and confront, cross-examine, and compel the attendance of witnesses, (3) the right to a written or electronic verbatim record of such hearing, and (4) the right to written findings of fact and decisions (which findings and decisions shall also be transmitted to the advisory panel established pursuant to section 1413(a)(12) of this title).

Civil action; jurisdiction

(e)(1) A decision made in a hearing conducted pursuant to paragraph (2) of subsection (b) of this section shall be final, except that any party involved in such hearing may appeal such decision under the provisions of subsection (c) and paragraph (2) of this subsection. A decision made under subsection (c) of this section shall be final, except that any party may bring an action under paragraph (2) of this subsection.

(2) Any party aggrieved by the findings and decision made under subsection (b) of this section who does

not have the right to an appeal under subsection (c) of this section, and any party aggrieved by the findings and decision under subsection (c) of this section, shall have the right to bring a civil action with respect to the complaint presented pursuant to this section, which action may be brought in any State court of competent jurisdiction or in a district court of the United States without regard to the amount in controversy. In any action brought under this paragraph the court shall receive the records of the administrative proceedings, shall hear additional evidence at the request of a party, and, basing its decision on the preponderance of the evidence, shall grant such relief as the court determines is appropriate.

(3) During the pendency of any proceedings conducted pursuant to this section, unless the State or local educational agency and the parents or guardian otherwise agree, the child shall remain in the then current educational placement of such child, or, if applying for initial admission to a public school, shall, with the consent of the parents or guardian, be placed in the public school program until all such proceedings have been completed.

(4) The district courts of the United States shall have jurisdiction of actions brought under this subsection without regard to the amount in controversy.

STATEMENT OF THE CASE

Russell Caine is a child designated as "profoundly or severely mentally retarded" (PSMR). Until 1981, he was enrolled in a specialized program for such children in the Savannah-Chatham County [Georgia] School District. The program is an excellent one, and the quality of services

provided has not been an issue in this litigation. The duration of Russell's program, however, was limited to the 180-day school year. Although there is an extended program in the County, it is designed primarily for children with emotional disorders, and the County considered the program inappropriate for Russell's case and refused to consider him for it.

Russell's parents wanted his services extended in duration. Under the Education for All Handicapped Children Act, as amended, 20 U.S.C. §§ 1401-1415 (hereinafter the "Handicapped Act"), the County promulgated an Individualized Education Program (IEP) for Russell, including the 180-day duration. The Caines appealed the appropriateness of the program under the administrative procedure of the Handicapped Act, 20 U.S.C. § 1415(b) and (c). The IEP was upheld by both the local and state tribunals. The administrative appeal process lasted about six months.

Having exhausted the administrative appeal, the Caines filed suit in the United States District Court for the Northern District of Georgia in November 1978, as they were entitled to do under the Handicapped Act, 20 U.S.C. § 1415(e). The case was certified as a class action on July 11, 1979. Russell and his parents were joined by the Georgia Association of Retarded Citizens (GARC) and two classes, representing PSMR children in Georgia who require more than 180 days of educational placement and those children's parents and guardians. The Defendants were the county and State boards of education, along with their superintendents and members.

The Complaint alleged that Russell Caine and children similarly situated had unique needs which required an education in excess of the normal 180-day school year. It further alleged that Defendants had a policy and prac-

tice of failing and refusing to consider such needs in excess of the 180-day school year. Such failure and refusal to consider was alleged to be violative of the rights of the class of Plaintiffs under the Handicapped Act, Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794) (hereinafter "Section 504"), the Fourteenth Amendment to the Constitution of the United States and the Adequate Program for Education in Georgia Act (*Ga. Code* 32-601(a) *et seq.*).[1] The responsive pleadings of the Local Defendants denied that Russell Caine or the class of children that he purportedly represented needed a program of education in excess of 180 days, denied that there was any such failure or refusal to consider such a program, denied that it had a legal obligation to provide such a program and claimed that the Handicapped Act provided an exclusive remedy for the conduct alleged.

The district court concluded that Defendants had a policy and practice of limiting the school year to 180 days and that this policy was in violation of the Handicapped Act and Section 504.[2] It further concluded, however, that Plaintiff and other members of the class did not demonstrate a need for such an extended school year. The district court therefore entered a declaratory judgment that the policies and practices of Defendants limiting the school year to 180 days were in violation of the Handicapped Act and Section 504, and it enjoined Defendants to henceforth consider the needs of all children for extended educational programs. The Court refused to require Defendants to provide a year-round education or anything more than 180 days to any specific children, including Russell Caine and

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1. The district court declined to rule on the Constitutional and Georgia statutory claims, having afforded full relief under the federal statutes.

2. *GARC v. McDaniel*, 511 F.Supp. 1263 (N.D. Ga. 1981).

the other three children on whose behalf the evidence was presented.

An appeal to the United States Court of Appeals for the Eleventh Circuit was filed by the Chatham County School District on June 12, 1981, and by all other parties at about the same time.

In September 1981, Russell Caine's parents voluntarily removed him from the Chatham County School District and had his records forwarded to Bulloch County. Chatham County moved the Eleventh Circuit to vacate the award against it for mootness, as no child within its jurisdiction had used the administrative procedures under the Handicapped Act to request an IEP of more than 180 days' duration.

The Eleventh Circuit affirmed the opinion of the district court and denied Chatham County's Motion to Vacate for Mootness. Judge Hill dissented on grounds not raised by any party, to wit, that the judiciary's role under the Handicapped Act of adjudicating the propriety of IEPs represented an unconstitutional delegation of legislative powers and responsibility to the federal courts.

This Court granted the Defendants' Petition for Writ of Certiorari, vacated the judgment, and remanded the case to the Eleventh Circuit for reconsideration in light of an intervening case, *Smith v. Robinson*, 468 U.S. ....., 104 S.Ct. 3457 (1984).

On September 4, 1984, the Eleventh Circuit modified its opinion, reversing the district court's opinion insofar as it granted relief under Section 504, but affirming once again the district court's grant of relief under the handicapped Act.

Jurisdiction in the district court was founded on 28 U.S.C. § 1343 and 20 U.S.C. § 1415(e).

REASONS FOR GRANTING THE PETITION FOR WRIT OF CERTIORARI

I. The Decision Of The Eleventh Circuit Conflicts With Recent Decisions Of This Court Concerning A School Board's Duty To Provide Services Under The Handicapped Act.

a. Pennhurst v. Halderman

In *Pennhurst State School v. Halderman*, 451 U.S. 1 (1981), this Court likened legislation enacted pursuant to the Spending Power to a contract. The legitimacy of Congress' power to legislate under the Spending Power "thus rests on whether the State voluntarily and knowingly accepts the terms of the 'contract.'" *Id.* at 17.

If a state is unable to ascertain with precision what is expected of it under such legislation, there is no "knowing acceptance" of the conditions. "Accordingly, if Congress intends to impose a condition on the grant of federal moneys, it must do so *unambiguously*." *Id.* (emphasis added).

Congress, has, in a sense, recognized this rule. When it has intended to force the states to fund entitlements, as a condition of receiving federal funds, "it has proved capable of saying so explicitly." *Id.* at 18.

The principal flaw in the Circuit's decision is its failure to accept and apply this concept of "ambiguity" to the Handicapped Act. There simply is no requirement in the Handicapped Act that a recipient school system, once it has placed a handicapped child in an appropriate program, extend the duration of the program beyond the school year.

The Circuit Court did not point out an explicit, unambiguous provision of the Handicapped Act requiring extended placement. Rather, it inferred the requirement from the general duties imposed by the Act, such as the need to make case-by-case determinations of placement and the obligation to provide an appropriate education. It did not, however, uncover any explicit requirement, because none exists.

The key to the Circuit's decision on this issue is stated in a separate one-sentence paragraph:

> Had Congress intended to defer to state durational practices . . ., there is no doubt it easily could have done so.

716 F.2d at 1577. The Circuit thus did precisely what this Court forbade in *Pennhurst*. It resolved an ambiguity in a Spending Power statute in favor of a plaintiff.

In other words, the rule announced by this Court in *Pennhurst* was that, when a state accepts funds under a Spending Power statute, it accepts only those duties and responsibilities explicitly and unambiguously tied to the receipt of funds. Duties cannot be imposed after the funds are disbursed.

The Circuit's imposition of a duty on Chatham County to promulgate an entirely new school year does not find a basis in an explicit or unambiguous provision of the Handicapped Act. No person in this litigation has pointed to an explicit provision of the Act dealing in any way, shape, or form with length of a school year. And, under *Pennhurst*, when a statute is silent on such a major topic, no duty can be assumed.

The Circuit decision conflicts directly with this holding. Instead of holding that the Plaintiffs must show

Congress' explicit intention to affect the duration of the school year, it held that unless Congress' intention "to defer to state durational practices" is manifest, it will *assume* that Congress intended its legislation to affect such practices.

The rule imposed by the Circuit in interpreting the Handicapped Act is exactly the opposite of the rule imposed by this Court for the interpretation of Spending Power legislation. This overt failure or refusal of the Circuit to follow and apply Supreme Court precedent can be remedied only by this Court's grant of a writ of certiorari, and the writ should be so granted. *E.g., Schlude v. Commissioner*, 372 U.S. 128, 130 (1963).

b. Board of Education v. Rowley

The Circuit opinion fails to apply the principles set down by this Court in *Board of Education v. Rowley*, ... U.S. ....., 102 S.Ct. 3034 (1982). In *Rowley*, this Court struck down the lower court's ruling that a sign language interpreter must be provided to a deaf child. Three of the Court's holdings are particularly applicable to the present case: First, a substantive standard is "noticeably absent" from the Act; secondly, the Act "certainly . . . contains no requirement like the one imposed by the lower courts"; and third, the "Act imposes no clear obligation upon recipient states beyond the requirement that handicapped children receive some form of specialized education. . . ." *Id.* at 3042, 3045.

There simply can be no doubt that the program provided by Chatham County to PSMR children is appropriate to the education of PSMR children. These children receive much more than "some form of specialized educa-

tion"; they receive a full school year of specially tailored individual instruction in a center especially designed to meet their needs.

Unfortunately, it is impossible to quantify either the term "appropriate" as used in the Handicapped Act or this Court's guidelines promulgated in *Rowley*. When a circuit court, however, holds that Chatham County, with its intricate, specialized, and extremely expensive PSMR program, has not provided the children with "some form of specialized education," it makes a mockery of this Court's decision in *Rowley*.

The Eleventh Circuit has, purely and simply, attempted to impose a substantive obligation on Chatham County which Congress did not explicitly impose in the Handicapped Act. The issue of judicial activism in imposing durational requirements under the Handicapped Act is one of enormous significance, not only in Chatham County or the State of Georgia, but throughout the United States. *E.g., Battle v. Pennsylvania*, 629 F.2d 269 (3rd Cir. 1980), *cert. denied*, 452 U.S. 968 (1981); *Crawford v. Pittman*, 708 F.2d 1028 (5th Cir. 1983); *Yaris v. Special School District of St. Louis County*, 558 F.Supp. 545 (E.D. Mo. 1983); *Garrity v. Gallen*, 522 F.Supp. 171 (D. N.H. 1981); *Bales v. Clarke*, 523 F.Supp. 1366 (E.D. Va. 1981). The number of cases involved, and likely to occur in the future, argues persuasively in favor of granting the instant writ, as does the number of states, school districts, and handicapped children involved. *E.g., United States v. Powell*, 330 U.S. 238, 240 (1947); *Steelworkers v. Usery*, 429 U.S. 305, 307, n. 3 (1977).

II. There Is No Case Of Controversy Between The County And Any Class Member, And The County Must Be Dismissed From The Litigation Under Article III.

Under Article III of the United States Constitution, no person can be forced to litigate a speculative issue. And yet, as to the County, the Circuit decision is no more than an advisory opinion. The County has been, by sheer disregard, stripped of its Constitutional protection.

To bring a district Court action under the Handicapped Act, a child must exhaust the relatively painless, speedy and inexpensive review procedures required by the Act itself. 20 U.S.C. § 1415(e); *Stubbs v. Kline*, 463 F.Supp. 110 (W.D. Pa. 1978). *See, e.g., FCC v. Schreiber*, 381 U.S. 279, 296-97 (1965). No such child resides in Chatham County, and there is no person empowered by statute to bring an action against the County.

Originally, Russell Caine and his parents had exhausted these procedures, and were empowered both to bring suit and to act as a class representative. In the middle of the lawsuit, however, the Caines voluntarily removed Russell from the Chatham County school system. The consequences of Russell's withdrawal, insofar as Chatham County is concerned, are considerable, and the result of the withdrawal is that Chatham County must be dismissed from the case under this Court's precedent. A number of factors bear on this question.

First, the Caines' action violated the procedures for IEP review provided in the Handicapped Act. Under 20 U.S.C. § 1415(e)(3), a child must remain in his current education placement until the Act's procedures are completed. These procedures include the review process in

federal court. 20 U.S.C. § 1415(e). The Caines have thus disqualified themselves from receiving relief under the Handicapped Act.

Secondly, the provisions of the review procedures are a mandatory prerequisite to federal court jurisdiction under the Act. 20 U.S.C. § 1415(e). The Caines' mooting of their claim has left no person in the Chatham County school system who can maintain a federal court action under the Handicapped Act. Nobody but the Caines has been so aggrieved by the failure to place a PSMR child in a full-year program that they have used the simple appeal process to appeal an IEP.

Third, there is no reason not to apply the mootness doctrine to this case. The question, if it recurs, will not evade review. Children have a right to twelve years of education and, in this specific case, it is not the passage of time that has mooted the Caines' claim.

In addition, this is not a case where the Petitioners have taken action to render the case moot. Chatham County has been diligent in its efforts to retain Russell in his program. His withdrawal from the program was a voluntary action.

The Circuit refused to dismiss the action against Chatham County on the grounds that there was still a "live controversy" between the County and unnamed class members. 716 F.2d at 1571. It failed even to address, however, the issues raised by the County which take this case out of the class mootness exceptions created by such cases *Franks v. Bowman Transportation Co.*, 424 U.S. 747 (1976). First, exhaustion of the administrative procedures under the Handicapped Act are a jurisdictional prerequisite to filing suit under the Act. Secondly, because no per-

son in Chatham County has even attempted to use these administrative procedures, there is no "unnamed member" of the County-wide class entitled to relief. This is not merely a matter of replacing a class representative; it is a matter of an entire class (of only 28 possible members) jurisdictionally disqualified from relief. Third, dismissing the action against Chatham County would have no effect on the litigation between the state-wide class and the State. Chatham County will, of course, abide by a decision involving the State; it simply refuses to be dragged into federal court when it has no legally cognizable dispute with any plaintiff.

The Circuit's refusal to dismiss the action as to the County is in direct contradiction to a long line of this Court's precedent and violates Article III of the Constitution. *E.g., Indiana Employment Security Division v. Burney*, 409 U.S. 540 (1973). A grant of the present petition is necessary to safeguard the County's rights to be free from such hypothetical litigation and to enforce this Court's precedent on mootness.

CONCLUSION

The decision of the Eleventh Circuit cannot be squared with this Court's decisions in *Pennhurst* and *Rowley*. The Handicapped Act does not give federal district courts *carte blanche* to legislate the duration of the school year in a district where appropriate educational services are provided to handcapped students.

The questions raised in this Petition are ripe for certiorari, for a number of reasons. First, of course, the Cir-

cuit's decision makes a travesty of this Court's recent decisions; while paying lip service to *Pennhurst,* for example, the Circuit has clearly imposed an obligation on the State which is not explicit, and is only arguably implied, in the Handicapped Act, a statute passed under the Spending Power.

Second, this case presents two issues which have generated considerable litigation and which apparently will recur again and again until this Court clarifies the law: whether a state may be forced to extend its school year if it receives funds under the Handicapped Act, and whether the procedures available under the Handicapped Act to protest the placement of handicapped children must be exhausted prior to bringing a federal court action.

Third, the subject area is one of considerable public importance on both sides. The squeeze on public education funds, the declining quality of public schools, and the importance of quality public education are well-publicized current problems, and any substantial drain on these resources presents a question of national significance. On the other hand, the needs of handicapped children are compelling and must be met. Each side in the case represents the interest of a national priority.

Finally, the case presents an interesting question of mootness which will find applicability in a broad range of actions: under a cause of action with an administrative remedy prerequisite to federal jurisdiction, must a class action be dismissed when the claim of the only member to have satisfied the prerequisite is mooted? The Eleventh Circuit has said "no," but its decision is an apparent conflict with the case or controversy requirements of the Constitution.

The Petitioners therefore pray that a writ of certiorari issue to review the judgment and decision of the United States Court of Appeals for the Eleventh Circuit in this case.

Respectfully submitted,

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OPINION OF THE COURT OF APPEALS

GEORGIA ASSOCIATION OF RETARDED CITIZENS, et al., Plaintiffs-Appellees, Cross-Appellants,

v.

Dr. Charles McDANIEL, etc., et al., Defendants-Appellants, Cross-Appellees.

No. 81-7485.

United States Court of Appeals,
Eleventh Circuit.

Oct. 17, 1983.

Appeal from the United States District Court for the Northern District of Georgia.

Before HILL and VANCE, Circuit Judges, and TUTTLE, Senior Circuit Judge.

TUTTLE, Senior Circuit Judge:

In this controversy, we are asked to determine whether plaintiff Russell Caine, a profoundly mentally retarded child, and members of the certified class are entitled, under applicable federal statutes and regulations, to more than 180 days of public education in Georgia each year upon a showing of need. Plaintiffs contend, and the district court found, 511 F.Supp. 1263, that the defendants refused as a matter of policy to consider or provide such education in all cases. The court also determined that this policy results in regression in the training of profoundly and severely mentally retarded children. We conclude that the district court's findings are supported by the record and that the refusal to consider or provide

year round educational programs is contrary to the defendants' obligations under the Education for All Handicapped Children Act and § 504 of the Rehabilitation Act of 1973 to provide a free public education serving each handicapped child's particular needs.

I. Background

Defendants, comprising the Georgia Superintendent of Schools, the Georgia State Board of Education and that Board's individual members ("state defendants"), and the Superintendent of the Savannah-Chatham School System, the Savannah-Chatham Board of Education and its individual members ("local defendants"), appeal from a judgment of the United States District Court for the Northern District of Georgia enjoining the policy by which the defendants do not consider or provide more than 180 days of education for profoundly and mentally retarded ("PSMR") children. Defendants challenge the district court's findings of fact and conclusions of law. Plaintiffs, who are Russell Caine, a PSMR child, his parents, L. Douglas and Virginia Caine, the Georgia Association of Retarded Citizens ("GARC") [1] and two classes composed of (1) all handicapped school aged children in Georgia who are mentally retarded and require more than 180 days of public school programming and (2) the parents and guardians of these children, cross-appeal from the district court's order failing to reach a determination of specific need for Russell Caine and other individual PSMR children. The plaintiffs also challenge the lack of specificity of the district court's injunction.

In early 1978, the Caines appealed the decision of the

[1] The GARC is a non-profit state wide organization created to promote the interests of mentally retarded citizens of all ages.

Savannah-Chatham County Board of Education denying their son placement in a full year educational program. Russell Caine, nine years old at the time this suit was filed, had a mental age of two. As with most other PSMR children, he required training in basic living skills, including walking, feeding, and toilet use. On April 18, 1978, an administrative hearing was held before the Chatham County Hearing Review Board, affirming the School Board's decision. An appeal to the State Board of Education in May, 1978, similarly produced an adverse ruling. The Caines filed this action in November, 1978, challenging the Board's decision under the Education for All Handicapped Children Act ("the Act" or "the Handicapped Act"), 20 U.S.C. § 1401 *et seq.*, § 504 of the Rehabilitation Act of 1973 ("§ 504"), 29 U.S.C. § 794, the equal protection and due process clauses of the Fourteenth Amendment of the Constitution, and under the Georgia Constitution and the Adequate Program for Education in Georgia Act, Georgia Code Annotated § 32-601a *et seq.*

In June, 1979, the district court held an evidentiary hearing on plaintiff's motion for preliminary injunction and class certification. The district court determined that plaintiffs did not show irreparable injury and that it would not be in the public interest to grant a preliminary injunction. The court certified two separate classes of plaintiffs. The first class is composed of "all handicapped children of school age in the state of Georgia who are mentally retarded, and who because of their special needs, require more than 180 days of public school programming of special education and related services." The second class is composed of all parents or guardians of all children in the first class.

The case was tried on the merits without a jury during the summer of 1980. The district court rendered its opinion on April 2, 1981, granting an injunction against the challenged policy but refusing to require specific educational placement for any of the children. After oral argument on appeal, we received briefs from the parties assessing the impact of the Supreme Court's decision in *Board of Education of the Hendrick Hudson Central School District v. Rowley*, ___ U.S. ___, 102 S.Ct. 3034, 73 L.Ed.2d 690 (1982). We then solicited the parties' views on the procedural appropriateness of an apparent attack by a private party on the state plan brought under 20 U.S.C. § 1415(e)(2) as a challenge to an individualized education program. We also requested advice from the Secretary of Education on his role in such a suit and entertained a brief from the United States as *amicus curiae*. We first outline the Handicapped Act's mandates, the primary statute under which this suit was brought, as interpreted by *Rowley*, so as to be on firm footing in addressing the procedural questions we posed to counsel. We next turn to the substance of the defendant's contentions on appeal, and lastly to the issues presented on cross appeal.

II. The Handicapped Act

[1] Congress intended, by adopting the Handicapped Act, to encourage and assist the provision of a free and appropriate education by the states to all handicapped children. The Act was passed in response to Congress' perception that most handicapped children in the nation "were either totally excluded from schools or [were] sitting idly in the regular classrooms awaiting the time when they were old enough to 'drop out'." House of Representatives Report No. 94-332, p. 2 (1975). The Act is

a model of "cooperative federalism" in that it offers funds in exchange for the acceptance of certain standards for the education of handicapped children. This case arises from the proper interpretation and application of those standards.

An analysis of the Act must be bottomed on the central role states play in educating their citizens. The Supreme Court has recognized that:

> No single tradition in public education is more deeply rooted than local control over the operation of schools; local autonomy has long been thought essential both to the maintenance of community concern and support for public schools and to quality of the educational process. Thus, in *San Antonio School District v. Rodriguez*, we observed that local control over the educational process affords citizens an opportunity to participate in decisionmaking, permits the structuring of school programs to fit local needs, and encourages 'experimentation, innovation, and a healthy competition for educational excellence'.

Milliken v. Bradley, 418 U.S. 717, 741-42, 94 S.Ct. 3112, 3125, 41 L.Ed.2d 1069 (1974). (Cites omitted). *See also San Antonio School District v. Rodriguez*, 411 U.S. 1, 93 S.Ct. 1278, 36 L.Ed.2d 19 (1973).

Yet a state's responsibility for providing education is bounded by certain congressionally developed concerns once the state accepts federal financial assistance under the Act. Federal delimitations upon state educational programs for the handicapped reflect two core principles: first, that each handicapped child's education must be carefully crafted to meet that child's individual needs so that the child may benefit from his or her education; and second, that states must adopt extensive due process procedures to insure that substantive individual needs are

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met. The statutory requirement of an "appropriate" education draws its content from these principles.

The central requirement a state receiving federal funds under the Handicapped Act faces is to effect a "policy that assures all handicapped children the right to a free appropriate public education." 20 U.S.C. § 1412(1). The Act plainly provides that such an education must be uniquely suited to each handicapped child's needs. The Act defines free appropriate public education as:

> *Special Education* and related services which (A) have been provided at public expense under public supervision and direction, and without charge, (B) meet the standards of the state educational agency, (C) include an appropriate pre-school, elementary, or secondary school education in the state involved, and (D) are provided *in conformity with the individualized education program* required under § 1414(a)(5) of this title.

20 U.S.C. § 1401(18) (emphasis added). Moreover, the Act defines "special education" as a program of instruction which is "*specially designed* . . . to meet the *unique needs* of a handicapped child." 20 U.S.C. § 1401(16) (emphasis added). "Related services" are "such developmental, corrective, and other supportive services (including speech pathology and audiology, psychological services, physical and occupational therapy, recreation, and medical and counseling services . . .) as may be required to assist a handicapped child to benefit from special education . . ." 20 U.S.C. § 1401(17).

[2] In addition, the Act so clearly delineates the "individualized education program" ("IEP") which must be developed for each handicapped child that there can be no question under the statute that the State is responsible for meeting a child's *unique* educational needs. The IEP is to be developed as a written statement for each

handicapped child in a meeting between the teacher, parents or guardian, and local educational agency representative. The statement must include a discussion of: the child's present level of performance; annual goals and short-term instructional objectives; the specific educational services to be provided to the child; the extent to which the handicapped child is able to participate in regular educational programs; the projected date of initiation and duration of the services; and the means for determining whether the instructional objectives are being met. 20 U.S.C. § 1401(19).

The IEP is more than a mere exercise in public relations. It becomes the basis for a handicapped child's entitlement to an education in conformance with the IEP, 20 U.S.C. § 1401(18)(D), and for a host of procedural rights in the event a child's education deviates from a mutually arrived upon IEP. Thus, parents are entiled under the Act to written prior notice whenever an educational agency proposes or refuses to initiate a change in the evaluation or educational placement of their child or the provision of a free appropriate public education to a handicapped child. 20 U.S.C. § 1415(b)(1)(C). Parents or guardians are to be afforded an impartial due process hearing before, at least in the last administrative resort, the state educational agency, 20 U.S.C. § 1415(b)(2) and 20 U.S.C. § 1415(c), complete with all the requisite rights of a full trial. 20 U.S.C. § 1415(d)(2) [Counsel or other qualified advisors; present evidence; cross-examine; compel attendance of witnesses; record of hearing; and written findings of fact and decision]. Finally, parents have a right to appeal a decision of the state educational agency to a United States district court, which shall hear such additional evidence necessary to engage in a *de novo* resolution of the complaint. 20 U.S.C. § 1415(e)(2), (4).

In addition, the Act requires that each state adopt a plan assuring, *inter alia*, that all federal funds will be spent in a manner consistent with the provision of a free appropriate public education to all handicapped children in the state. 20 U.S.C. § 1413(a)(2). The Commissioner of Education (the "Commissioner") is required to review all state plans and approve only those providing such assurances.[1] 20 U.S.C. § 1413(c). The Commissioner is empowered with authority to terminate federal funding under the Act, after reasonable notice and the opportunity for a hearing, should he or she determine that a state has failed to meet its statutory obligations. 20 U.S.C. § 1416(a). The Commissioner's decision is reviewable in the United States Court of Appeals for the circuit in which the objecting state is located. 20 U.S.C. § 1416(b).

In *Rowley*, the Supreme Court determined that an eight year old deaf child who could read lips well, was advancing easily from grade to grade, and performed better than the average child was not entitled to a sign-language interpreter. In construing the Act's requirement of an "appropriate" education, the Supreme Court rejected the argument that states must provide "a potential-maximizing education." *Rowley*, 102 S.Ct. at 3046 n. 21. The Court declined to impose any particular substantive standard on the states, but noted that implicit in the Act was the principle that a handicapped child's education must confer some benefit upon that child. *Id.* 102 S.Ct. at 3048. The Court did, moreover, identify a "basic floor of

[1] The responsibilities of the United States Commissioner of Education were transferred to the Secretary of Education with the Department of Education Organization Act, Public Law No. 96-88, 96th Congress, 1st Session (1979). See *20* U.S.C. § 3441. The regulations governing implementation of the Handicapped Act, originally codified in title 45 CFR were recodified at 34 CFR Part 300 after the creation of the Department of Education.

opportunity" provided by the Act, which "[c]onsists of access to specialized instruction and related services which are *individually designed* to provide educational benefit to the handicapped child." *Id.* 102 S.Ct. at 3049 (emphasis added). The Court emphasized that such instruction and services must comport with the child's IEP. *Id.*

Based upon this interpretation of the Act, the Supreme Court delineated the appropriate scope of review for a court faced with a challenge to state educational decisions. According to the Court,

> a court's inquiry in suits brought under § 1415(e)(2 is two-fold. First, has the State complied with the pro cedures set forth in the Act? And second, is the indi vidualized educational program developed through the Act's procedures reasonably calculated to enable the child to receive educational benefits? If these requirements are met, the State has complied with the obligations imposed by Congress and the courts can require no more.

Rowley, 102 S.Ct. at 3051 (footnote omitted).

Notably, the Court also directed that the first prong of this inquiry, whether the State has complied with the Act's procedures, "will require a court not only to satisfy itself that the State has adopted the state plan, policies, and assurances required by the Act, but also to determine that the State has created an IEP for the child in question which conforms with the requirements of § 1401 (19)." *Id.* 102 S.Ct. at 3051 n. 27.

III. Procedural Prerequisites

Having developed an understanding of the statutory scheme Congress devised to assist states in educating handicapped children, we shall apply the Supreme Court's guidelines to our review of the adequacy of Georgia's com-

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pliance with the Act. However, we first address certain threshold procedural questions raised by the defendants that go to this Court's power under the Constitution to render an opinion in this case.

[3, 4] The defendants asserted at oral argument that this case is moot because named plaintiff Russell Caine no longer resides in Chatham County and the Court therefore may no longer provide him any relief. Defendants fail to realize that the action still presents a "live controversy" as to the unnamed class members. Upon certification the class attained a legal status distinct from Russell Caine's asserted interest in the law suit. This interest continues today and is evidenced by the vigorous efforts with which the arguments of the class have been urged during these proceedings. *See Franks v. Bowman Transportation Company*, 424 U.S. 747, 752-55, 96 S.Ct. 1251, 1258-60, 47 L.Ed.2d 444 (1976) (employment discrimination class action); *Sosna v. Iowa*, 419 U.S. 393, 397-403, 95 S.Ct. 553, 556-59, 42 L.Ed.2d 532 (1975) (class action challenging residency durational requirement for divorce.). *See also Goosby v. Osser*, 409 U.S. 512, 514 n. 2, 93 S.Ct. 854, 856 n. 2, 35 L.Ed.2d 36 (1973); *Sands v. Wainwright*, 491 F.2d 417 (5th Cir.1973) (en banc).[3]

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[3] The defendants also challenge the propriety of certification of the plaintiff classes due to alleged indefiniteness of the composition of these classes. Our determination that the case is not moot inherently relies upon the appropriateness of the class certification. The district court certified a class of all handicapped school aged children in Georgia who are mentally retarded and require more than 180 days of public school programming. The court certified a second class consisting of the parents or guardians of children in the first class. Defendants contend that, because the class definitions themselves are premised upon findings of need, the classes are inherently indefinite and therefore impermissible.

Defendants' argument here is without merit. "It is axiomatic that the decision to or not to certify a class is discretionary, and the determination of the trial court should stand absent an abuse of dis-

[5] Defendants also claim that the district court rendered a purely advisory opinion due to the absence of a case or controversy. Defendants argue that the district court explicitly found that the plaintiffs failed to show sufficient identifiable injury to warrant the issuance of a mandatory injunction. The district court concluded, however, that the plaintiffs did suffer injury in fact due to the existence of a state-wide policy precluding consideration *on the merits* of the need for more than 180 days of education per year in a handicapped child's IEP. The court's findings in this regard and its direction that the appropriate state or local educational agency determine each child's educational needs without limitation, establishes the existence of an adequate case or controversy. The district court clearly found a procedural injury. Moreover, the court's refusal to find a substantive injury arguably resulted from the failure of the State to insure that the proper procedures required under the Act were met. The court's disposal of this action falls within the broad remedial discretion afforded a district court judge under the Act. *See* 20 U.S.C. § 1415(e)(2) ("the court . . .

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cretion, assuming that the court acts within the parameters of Federal Rule Civil Procedure 23." *Walker v. Jim Dandy Company*, 638 F.2d 1330, 1334 (Fifth Cir.1981). *See also Zeidman v. J. Ray McDermott and Company*, 651 F.2d 1030, 1038 (Fifth Cir.1981); *Boggs v. Alto Trailer Sales, Inc.*, 511 F.2d 114 (Fifth Cir.1975); *Hill v. American Airlines, Inc.*, 479 F.2d 1057 (Fifth Cir.1973); 7A C. Wright and A. Miller, Federal Practice and Procedure § 1785, 134-135 (1972). We are unable to find such an abuse of discretion here, "since it is not necessary that the members of the class be so clearly identified that any member be presently determined." *Jones v. Diamond*, 519 F.2d 1090, 1100 (Fifth Cir.1975). *See Carpenter v. Davis*, 424 F.2d 257, 260 (Fifth Cir.1970), *Bailey v. Patterson*, 323 F.2d 201 (Fifth Cir.1963), *cert. denied*, 376 U.S. 910, 84 S.Ct. 666, 11 L.Ed.2d 609 (1964); *Ladd v. Dairyland County Mutual Insurance Company of Texas*, 96 F.R.D. 335 (N.D.Tex.1982). *Also see Battle v. Pennsylvania*, 629 F.2d 269 (Third Cir.1980), *cert. denied* 452 U.S. 968, 101 S.Ct. 3123, 69 L.Ed.2d 981 (1981).

shall grant such relief as the court determines is appropriate.")

[6] Defendant's argument simply misconstrues the requirements of Article III of the Constitution. It confuses the sufficiency of proof with the adequacy of an asserted interest. Plaintiffs have claimed injury in fact and thus have standing to bring this law suit. It is unnecessary to decide whether plaintiff's allegations of harm due to the existence of a state policy will *ultimately* entitle them to any relief in order to hold that there is a sufficient controversy here. "If such impairment does produce a legally cognizable injury, [plaintiffs] are among those who have sustained it." *Baker v. Carr*, 369 U.S. 186, 208, 82 S.Ct. 691, 705, 7 L.Ed.2d 663 (1962). There is no doubt that the plaintiffs have "*alleged* such a personal stake in the outcome of the controversy as to assure that concrete adverseness which sharpens the presentation of issues upon which the court so largely depends." *Baker*, 369 U.S. at 204, 82 S.Ct. at 703 (emphasis added).

IV. Statutory Procedural Concerns

After hearing oral argument in the instant action, this Court directed the parties to respond to certain questions dealing with the propriety of a challenge to a purported state policy in the context of a § 1415 request for review of a particular child's IEP. We are now fully satisfied with the appropriateness of the procedures employed by the plaintiffs.

[7] There is no statutory provision by which a concerned parent may challenge a state plan once it has been formulated.[4] While the Commissioner of Education is en-

[4] 20 U.S.C. § 1412(7)(B) requires a state to provide the general public with notice, an opportunity for comment, and public hearings prior to the adoption of a state plan. Once adopted, however, there is no provision for challenging that plan.

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titled to disapprove a state plan and cut off the flow of federal funds to the state, 20 U.S.C. § 1413(c), there is no provision for parental intervention in that process.[4] Even if a citizen arguably may institute such a procedure by complaint, the Commissioner could decline to investigate due to the lack of enforcement resources rather than due to any determination on the merits. *Cannon v. University of Chicago*, 441 U.S. 677, 706-08, 99 S.Ct. 1946, 1962-63, 60 L.Ed.2d 560 (1979). Moreover, the availability of intervention in fund termination procedures fails to provide a means for plaintiffs to vindicate their rights by procurement of appropriate educational services. *See New Mexico Ass'n. for Retarded Citizens v. State of New Mexico*, 678 F.2d 847, 851 (10th Cir.1982); *Pushkin v. University of Colorado*, 658 F.2d 1372, 1381 (10th Cir. 1981). Even if procedure for intervention did exist, we are unconvinced plaintiffs would be required to employ it where, as here, that which is under attack became known only upon subsequent proceedings and is not apparent from the face of the plan itself.

[8] There is nothing in 20 U.S.C. § 1415(e)(2) to suggest that the due process rights of a parent under the Act are to be curtailed merely because the challenge to the IEP happens to implicate a portion of a state's plan. We do not find that approval of Georgia's state plan by the Commissioner creates any presumption as to the

[4] Defendants claim that 20 U.S.C. § 1231b-2 establishes the requirement of administrative exhaustion by providing a means to challenge the Commissioner of Education's approval of a plan. We disagree. That provision is part of the general statutory requirements governing federal education programs and is not part of the Handicapped Act. Moreover, it is designed to permit "applicants" or "recipients" of funds, meaning local school districts and *not individuals*, to appeal adverse funding decisions in federally funded state programs. It creates no requirements for parties attacking policies inherent in all IEP's promulgated within a state.

legality of the 180 day policy found by the district court. The plan is silent as to the duration of services to be provided handicapped children. Moreover, the plan does provide that "[f]or agencies conducting *twelve month* educational programs, the school year begins October 1 and ends September 30 for the purpose of IEP's" (emphasis added). Thus, the plan may well have suggested to the Commissioner, who reviewed it without the benefit of knowledge of the State's actual practice, that the State would indeed consider year round schooling when shown to be necessary. We also decline to require as a matter of law that a party bringing a claim under § 1415 join the Commissioner of Education in the proceedings. There is no basis in the statute or the regulations for such a procedure. Requiring joinder of the Commissioner would impinge upon a district court's discretion in managing litigation and does not appear to be necessary under Federal Rules of Civil Procedure 19. Nor would it serve any valuable purpose for it would involve the Commissioner in numerous suits in which he or she had only minimal policy interest and in which the Commissioner would not be in a position to offer any form of relief.

V. District Court's Findings of Fact

The district court explicitly found that the state and local defendants have a policy of limiting education for handicapped children to 180 days per year. The court also determined that PSMR children regressed as a result of the three month summer break in their training. Defendants challenge these factual findings.

[9] As a preliminary matter, we must determine the appropriate standard of review of these findings. Defendants urge that the finding of a state-wide policy of nine

month's education is an "ultimate fact" that may be overturned on review on the basis of mere error. Federal Rules of Civil Procedure 52(a), however, mandates that "[f]indings of fact shall not be set aside unless clearly erroneous, and due regard shall be given to the opportunity of the trial court to judge of the credibility of the witnesses." Last year, the Supreme Court made clear that the former Fifth Circuit was unjustified under Rule 52(a) in dividing findings of fact between "subsidiary" and "ultimate" issues and then applying a more stringent standard of review to the latter. *Pullman-Standard v. Swint*, 456 U.S. 273, 102 S.Ct. 1781, 72 L.Ed.2d 66 (1982). Rather, any factual "finding is 'clearly erroneous' when although there is evidence to support it, the reviewing court on the entire evidence is left with the definite and firm conviction that a mistake has been committed." *United States v. United States Gypsum Co.*, 333 U.S. 364, 395, 68 S.Ct. 525, 542, 92 L.Ed. 746 (1948).

A review of the record provides ample support for the district court's conclusion that the local defendants had a policy of not providing more than 180 days education to handicapped children. The local defendants point to several factors weighing against this conclusion. First, they argue that the professional staff of the Exceptional Children's Center ("ECC"), the facility Chatham County operates for PSMR children, unanimously testified to the lack of need for more than nine months education for all the children under consideration in this suit. The local defendants thus claim that they engaged in the requisite individualized determinations. Further, the local defendants point out they indeed sent one child to a summer program. Also, they claim not to have discussed the duration of Russell Caine's program in IEP meetings with his parents because the issue was in litigation.

These arguments are simply unpersuasive. The district court discounted the testimony of the ECC staff because the court refused to believe that not a single child demonstrated need for extended educational placement. Rather, the court was properly convinced that the determination represented a policy decision imposed on and carried out by the EEC staff. We are not at liberty to reassess the district court's obvious credibility choices in this regard. The response of the local defendants to interrogatory #14 confirmed the fact that the county had a policy of providing only 180 day schooling. Moreover, the fact that the local defendants consistently failed to justify refusals to provide year round education on the ground of lack of funding rather than on lack of need strongly supports the existence of such a policy. The failure to discuss Russell Caine's needs in this regard may not be attributed to pending litigation when the meetings under consideration took place even before the Caines filed an administrative appeal. The sole exception the local defendants can muster, that of a mentally retarded child sent to a summer program for emotionally disturbed children, involved a placement decision made apart from the proceedings mandated by the Act.

Strong evidence of the local defendants' restrictive policy convinces us of the correctness of the district court's decision. The Chatham County Hearing Review Board's (the "Board") decision on Russell Caine's appeal stated:

> Evidence indicated that *there is a need for a continuous program to optimally meet Russell Caine's needs, however, State Law does not provide a funding pattern that will allow local education agencies to operate beyond the 180 day school year . . . It is not the responsibility of this Hearing Review Board to decide what might be ultimately appropriate and desirable for a student* but rather, if

> what is being offered is appropriate and adequate under the requirements of the law . . .

(emphasis added). Thus, despite the existence of evidence indicating need of a continuous program, the Board simply refused to consider whether education for more than nine months would be appropriate for Russell Caine. Rather, the Board relied upon the existence of a perceived *State* requirement to dispose of plaintiff Caine's challenge to his IEP. It is beyond peradventure then, that the Board adopted this view of "State Law" as a local policy which allowed the Board to ignore totally the plain facts showing some need for extended education on the part of Russell Caine.

The record also does not leave sufficient doubt to conclude that the district court erred in determining that there is a State policy of limited schooling for handicapped children.[6] The state defendants assert that the state plan is permissive on this question. Yet evidence that the State on appeal has *never* ordered a local board to provide or even consider handicapped student's needs in excess of 180 days is highly persuasive to the contrary. The State has made clear its refusal to pay for handicapped training in excess of the nine month school year. Thus, we agree with the assessment of the district court that "[t]he State defendant's policy, although neutral on its face, has the effect of prohibiting the consideration of a child's needs beyond 180 days."

Plaintiffs' case is premised on the notion that PSMR children regress after a summer break and that home

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[6] The State's policy is crucial because the Handicapped Act imposes the ultimate responsibility for compliance with the state educational agency. 20 U.S.C. § 1414(d). The state agency must administer funds and services *directly* if it determines that the local educational agency is failing to provide appropriate programs.

training cannot equal that offered by professionals. Evidence given by the defendants and plaintiffs conflicted sharply on the degree of regression and the speed of recruitment of lost skills. Discounting the major evidence offered by the defendants in this regard as of "doubtful probative value," [7] the district court found that PSMR children, whose training so often involves basic living skills, do indeed experience some regression during breaks in their formal education.[8] We hesitate to reverse the district court where its finds so clearly rest on credibility choices among conflicting experts.

[10] The state defendants offered three rationales for not providing year round education: first, that children need a break from a structured environment to "recharge their batteries" and become motivated to learn again; second, that children need to apply their learned skills in new situations; and third, that children benefit from informal learning. These rationales are not particularly relevant to the factual finding in question; they rather serve as a justification for permitting some regression in light of the asserted benefits of a summer break. When the defendants do address the district court's finding on regression, they fail to muster a worthy argument. Defendants first assert that all students regress, so that the finding is of no consequence. In addition, they claim that PSMR children do not show sharp signs of the regression-recoupment syndrome that are severe enough to render nine

[7] The defendant offered extensive evidence of "portage studies" used to assess mental age and progress in a wide variety of skills. The district court found these to be teaching tools and not designed to measure regression, and also found that the technique was subject to inconsistent application.

[8] The court declined to find that the evidence of regression was strong enough as to any particular child to merit the issuance of a mandatory injunction requiring additional services.

month placement inappropriate. Next, however, they claim that there is no evidence PSMR children regress *at all.* These arguments encapsulate the deficiencies inherent in the defendant's position in this entire proceeding; the defendants justify across the board findings applicable to all PSMR children rather than recognize the need to engage in individual considerations for each child's particular needs. Their wholesale approach to assessing the "facts" of the case is precisely what constitutes an impermissible policy under the Handicapped Act.[9]

VI. District Court's Analysis of the Law

A. The Handicapped Act

The district court concluded that any State policy which prohibits or inhibits full consideration of the particular educational needs of each handicapped child violates the individually-oriented focus of the Handicapped Act. We recognize that the Supreme Court has stated "[t]hat the intent of the Act was more to open the door of public education to handicapped children on appropriate terms than to guarantee any particular level of education once inside." *Rowley*, 102 S.Ct. at 3043. Nonetheless, after a careful review of the statutes and the relevant precedents, we are left with the abiding opinion that the State failed both prongs of the inquiry *Rowley* mandates. Not only did the State not follow the procedures set forth

[9] Moreover, defendants ignore the statements of the Chatham County Hearing Review Board itself that, "evidence indicated there is a need for a continuous program to optimally meet Russell Caine's needs . . ."

Without implying that the defendants must "optimally" provide for a handicapped child's needs, we do note the inconsistency of the Review Board's statement with defendants' failure throughout the course of this appeal to concede the existence of any detrimental regression on the part of PSMR students.

in the Handicapped Act, but by completely ignoring a crucial factor in the assessment of appropriateness, it also failed to develop IEPs for the plaintiffs that were reasonably calculated to enable all handicapped children in Georgia to receive educational benefits. The district court thus did not *err* in granting relief under the Handicapped Act.

The two core concerns of the Act, as analyzed in part II, *supra*, are the procedures designed to insure particularized education to individual needs and the consequent development of an IEP for each child which incorporates his or her special needs. The State's avoidance of the impact of durational consideration squarely abrogates these fundamental principles. This holding, by itself, does not impose a substantive standard on the state; it requires no more than that the state *consider* the need for continuous education, along with a range of other concerns, when developing a plan of education and related support services that will benefit a handicapped child. That the end *result* of such a consideration may be an arguably substantive decision to impose a year round education in certain cases, whether voluntarily imposed by the state or by a § 1415(e)(2) federal court sitting in review, does not violate the role reserved to states under the Act. The substantive requirement may be met by more sensitive and accurate IEPs and by a recognition of the procedural imperatives that give attention to individual needs, both being goals which Congress unquestionably sought to impose upon the states in educating handicapped children.

The Third Circuit in *Battle v. Pennsylvania*, 629 F.2d 269 (3d Cir.1980), *cert. denied*, 452 U.S. 968, 101 S.Ct. 3123, 69 L.Ed.2d 981 (1981), reached the same conclusion we do. The *Battle* court anticipated the Supreme Court's

requirement of "reasonableness" in an IEP and the *Rowley* Court's attention to the procedural requirements of the Act. The court in *Battle* reasoned:

> Rather than ascertaining the reasonable educational needs of each child in light of reasonable education goals and establishing a reasonable program to attain those goals, the 180 day rule imposes with rigid certainty a program restriction which may be wholly inappropriate to the child's educational objectives. This the Act will not permit.

Battle, 629 F.2d at 280. In a post-*Rowley* decision, the Court of Appeals for the Fifth Circuit agrees in full with the Third Circuit and our reasoning here. In *Crawford, et al. v. Pittman, et al.*, 708 F.2d 1028 (5th Cir.1983), the court reversed the district court's judgment which had held that the Act governed only the kind and quality of services the State is required to provide to handicapped children, and not the extent of such services. The court held:

> We conclude that Mississippi's policy of refusing to consider or provide special education programs of a duration longer than 180 days is inconsistent with its obligations under the Act. Rigid rules like the 180-day limitation violate not only the Act's procedural command that each child receive individual consideration but also its substantive requirements that each child receive some benefit and that lack of funds not bear more heavily on handicapped than nonhandicapped children. (footnote omitted).

Similarly in *Yaris v. Special School District of St. Louis County*, 558 F.Supp. 523 (D.E.D.Mo.1983) (memorandum opinion), the court reasoned that any policy which inhibits consideration of the individual educational needs of handicapped children necessarily conflicts with the Act's emphasis on individualized education and the re-

quirement of an IEP. *Accord, Garrity v. Gallen*, 522 F. Supp. 171 (D.N.H.1981). *But see, Bales v. Clarke*, 523 F.Supp. 1366 (E.D.Va.1981).

Defendants argue that congressional silence on durational requirements in the Act should be interpreted as a recognition of the "traditional" nine month school year. This argument makes little sense. First, the nine month school year is a tradition of only recent vintage, if it may even be classified as such. Many states, including Georgia, have had, and may be expected to continue to have, other than nine months of public schooling for non-handicapped children. Second, Congress did not undertake in the Act to delineate *all* substantive considerations that compose an "appropriate education." Indeed, in light of the extraordinarily wide range of physical, mental and emotional impediments that qualify one for special treatment under the Act,[10] Congress could have hardly been expected to specify a uniform school term. Third, Congress has indicated its ability to accommodate special state concerns in the Act. For example, in determining eligibility under the Act, Congress recognized a local practice by making optional the provision of education from the ages of 3 to 5 and 18 to 21.[11]

Had Congress intended to defer to state durational practices as well, there is no doubt it easily could have done so.

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[10] 20 U.S.C. § 1401(1) provides that " 'handicapped children' means mentally retarded, hard of hearing, deaf, speech impaired, visually handicapped, seriously emotionally disturbed, orthopedically impaired, or other health impaired children, or children with specific learning disabilities, who by reason thereof require special education and related services."

[11] Section 1412(2) provides that a state must demonstrate to the commissioner that the state has developed a plan to assure that "(B) a free appropriate education will be available for all handicapped

Defendants also argue that the Supreme Court's decision in *Pennhurst State School and Hospital v. Halderman*, 451 U.S. 1, 101 S.Ct. 1531, 67 L.Ed.2d 694 (1981), mandates rejection of imposing the non-statutory standard of a durational requirement upon the states. In *Pennhurst*, the Court held that Congress did not intend to impose enforceable obligations on states through the Developmentally Disabled Assistance and Bill of Rights Act of 1975, 42 U.S.C. § 6000, *et seq.* ("DDAA"). The Court reasoned that Congress did not provide for a predicate receipt of federal funds upon compliance with DDAA. The Court forwarded a distinction between statutes enacted under § 5 of the Fourteenth Amendment, which impose absolute obligations upon the states, and statutes drawn under the Spending Clause which may impose unambiguous conditions upon a grant of federal funds. Conditions imposed under the Spending Clause must attach by the terms of the statute to become an enforceable obligation:

> The legitimacy of Congress' power to legislate under the spending power thus rests on whether the State voluntarily and knowingly accepts the terms of the 'contract' . . . . There can, of course, be no knowing acceptance if a State is unaware of the conditions or is unable to ascertain what is expected of it. Accordingly, if Congress intends to impose a condition on the grant of federal moneys, it must do so unambiguously.

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children between the ages of three and eighteen within the State not later than September 1, 1978, and for all handicapped children between the ages of three and twenty-one within the State not later than September 1, 1980, except that, with respect to children aged three to five and eighteen to twenty-one inclusive, the requirements of this clause *shall not be applied in any State if the application of such requirements would be inconsistent with State law or practice, or the order of any court, respecting public education within such age groups in the State.*" (emphasis added.)

Pennhurst, 451 U.S. at 17, 101 S.Ct. at 1540 (cites omitted).

[11] Defendants implicitly concede that Congress has the authority under the Spending Clause to impose conditions that attach upon the receipt of federal funds offered under the Handicapped Act. Nonetheless, they claim that a durational requirement was absent from the statute's explicitly required "contract" terms. We disagree. *Pennhurst* is simply inapposite to the situation in the instant case. Defendants confuse the notion of ambiguity with Congress' conscious and clear effort to instill the Handicapped Act with the flexibility necessary to attend to the educational needs of individual children. Congress did not specify the myriad factors which make training for a handicapped child appropriate. There can be no question, however, that a state accepting federal funds under the Act should be fully aware of the requirement of providing individualized education to each handicapped child and of adopting elaborate procedures designed to tailor the state's programs to each particular child's needs. The statute is clear that states will face a loss of federal funding upon a determination by the Commissioner of Education that they have failed to abide by these "contract" terms. 20 U.S.C. § 1413(c). Thus, we can see no reason for not holding a state to these strict procedures once it has accepted federal financial assistance.

B. Section 504 of the Rehabilitation Act of 1973

In addition to premising relief upon the Handicapped Act, the district court granted plaintiffs injunctive relief based upon § 504 of the Rehabilitation Act of 1973, 29 U.S.C. § 794 ("§ 504"). This statute provides, in pertinent part that

[n]o otherwise qualified handicapped individual in the

> United States, as defined in § 706(7) of this title, shall, solely by reason of his handicap be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance or any program or activity conducted by any executive agency or by the United States Postal Service . . .

The district court reasoned that § 504 requires for handicapped children both access to and the provision of the means for enjoyment of the benefits of federal funded programs. The court stated that, "[i]f a child needed a special service to gain equal benefit from his education, the denial of that service would constitute the discrimination in violation of § 504."

Defendants challenge the district court's findings on two grounds. First, they urge that the Handicapped Act establishes a comprehensive federal scheme for the education of handicapped children, thus providing an exclusive remedy for the alleged violation of rights in this case. Second, they contend that even if the Handicapped Act and § 504 remedies are not exclusive, relevant precedent limits of § 504 to insuring equal opportunity and does not permit affirmative remedies such as arguably mandated by the district court.

[12] The contentions of the defendants are unpersuasive. First, the district court correctly interpreted the statutes by holding that remedies offered by the Handicapped Act and § 504 are not mutually exclusive. Defendants rely primarily on the case of *Brown v. General Services Administration*, 425 U.S. 820, 96 S.Ct. 1961, 48 L.Ed.2d 402 (1976) (holding § 717 of Title VII to be the exclusive federal remedy for employment discrimination claims of federal employees), for the proposition that a precisely drawn statute, especially where later-enacted,

preempts general remedies. They argue that allowing plaintiffs to secure relief under § 504 would subvert the carefully crafted internal administrative procedures and the express private right of action offered by the Handicapped Act.[12]

Several cases of the former Fifth Circuit since *Brown* have applied both of these remedies to redress a single level injury.[13] *See S-1 v. Turlington*, 635 F.2d 342 (5th Cir.1981) (expulsion of handicapped students from school without considering whether their misconduct was related to their handicap violated both § 504 and the Handicapped Act); *Tatro v. State of Texas*, 625 F.2d 557 (Fifth Cir.1980) (failure to provide catheterization services for handicapped children violated both § 504 and Handicapped Act); *Camenisch v. University of Texas*, 616 F.2d 127 (Fifth Cir.1980), *vacated as moot*, 451 U.S. 390, 101 S.Ct. 1830, 68 L.Ed.2d 175 (1981) (deaf graduate student entitled to sign language interpreter services under § 504 without previous resort to administrative remedies). *Accord, Garrity v. Gallen*, 522 F.Supp. 171 (D.D.C.N.H.1982) (both Handicapped Act and § 504 violated by blanket refusal to provide summer services to mentally retarded students); *Pastel v. District of Columbia Board of Education*, 530 F.Supp. 660 (DNH 1981) (Handicapped Act and § 504 create autonomous yet concurrent rights and remedies).

[12] Defendants do not seem to contend that § 504 fails to create a private right of action. The overwhelming weight of appellate court precedence supports such a right. *See Majors v. Housing Authority*, 652 F.2d 454, 455 n. 1 (Fifth Cir.1981). Rather, they challenge the viability of this right of action to redress the same alleged legal injury already considered in a Handicapped Act claim.

[13] The case law of the former Fifth Circuit Court of Appeals has been adopted by the Eleventh Circuit Court of Appeals as binding precedent unless or until overruled or modified by this court sitting en banc. *Bonner v. City of Prichard*, 661 F.2d 1206 (11th Cir.1981).

The *Brown* Court was impressed by legislative history indicating exclusivity, as well as by the balance, completeness, and structural integrity of the statute there in issue. We do not find the factors relied upon by the Supreme Court in *Brown* applicable here. First, the Handicapped Act and § 504 may be available to different plaintiffs. The Handicapped Act is limited to children between the ages of 3 and 21, or depending upon local state practice, between the ages of 6 and 17. Section 504 contains no such limitations. Second, the protections of the Handicapped Act apply only to those programs funded under that statute. Nothing prevents a state from satisfying the educational needs of handicapped children through other available federal programs. Section 504 would apply to such programs, whereas the Handicapped Act by its terms would not. *See Kruelle v. New Castle County School District*, 642 F.2d 687 (Third Cir.1981). We are thus persuaded that § 504 grants independent substantive rights regarding procedures for special educational placement which, when vindicated, allow a court to provide remedies under § 504.

The third reason for finding that § 504 supplements the remedies available under the Handicapped Act is based on a careful reading of the regulations promulgated by the Department of Health, Education and Welfare ("HEW") for implementing § 504 and the Handicapped Act. These regulations are significant because of the need to defer to agency expertise in construing a statute when that agency is charged with the statute's administration. *See NLRB v. Bell Aerospace Company*, 416 U.S. 267, 274-75, 94 S.Ct. 1757, 1761-62, 40 L.Ed.2d 134 (1974); Sands, 21 Sutherland's Statutory Construction § 49.05 at 238 (4th ed. 1973). Significantly, the Handicapped Act regulations were first proposed when the § 504 regulations were in

their final stages of publication. Rather than create remedies supplanting the § 504 regulations, HEW promulgated an administrative scheme intentionally "consistent" with the § 504 regulations. *See* 41 Federal Register 56967 (1976). Moreover, changes to the § 504 regulations as originally proposed clearly indicate that the statutes harbor concurrent rights and remedies. HEW's proposed regulations provided that, "[a] recipient shall establish and implement . . . the procedural safeguards delineated in . . . the Education of the Handicapped Act . . ." *See* 41 Federal Register 30309 (1976). Appendix A of the final § 504 regulations, however, expressly indicates HEW's perception of an independent substantive content to the two statutes:

> Because the due process procedures of the EHA, incorporated by reference in the proposed § 504 regulations are *inappropriate for some recipients not subject to that act*, the section specifies minimum necessary procedures: notice, a right to inspect records, an impartial hearing, a right to representation by counsel, and a review procedure. The EHA procedures remain *one means* of meeting the regulation's due process requirements, however, and are recommended to recipients as a model.

34 CFR Part 104 Appendix A at Page 361 (1982) (emphasis added). *See* 34 CFR Part 104.36 (1982). *Also see Patsel*, 530 F.Supp. at 64-65.[14]

Defendants finally argue that the § 504 remedy will not support substantial modifications, such as an increase

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[14] Those cases finding exclusivity of recovery under the Handicapped Act and § 1983, *see e.g. Anderson v. Thompson*, 658 F.2d 1205 (7th Cir. 1981), present a somewhat different issue because § 1983 stands alone, devoid of the well developed administrative procedures attendant § 504 regulations. *See Tatro v. State of Texas*, 516 F.Supp. 968 (N.D.Tex.1981). To the extent such cases are inconsistent with our holding, we reject their analysis.

in the length of the school year, because the statute was intended to provide equal opportunity of benefit and does not require affirmative action. They suggest that § 504 merely outlaws the discriminatory denial of services to handicapped children under a federally assisted statute. Defendant's argument is predicated on the Supreme Court's decision in *Southeastern Community College v. Davis*, 442 U.S. 397, 99 S.Ct. 2361, 60 L.Ed.2d 980 (1979). In *Davis*, a deaf woman was denied individual affirmative assistance necessary to make a college nursing program accessible to her. The Court found that the school was not required to incur undue financial or administrative burdens by making substantial adjustments in its existing programs to admit the woman. The Court reached this result, however, by construing the phrase "otherwise qualified handicapped person" in § 504 to mean one who is able to meet all of a program's requirements "in spite of," rather than except for, his or her handicap, 442 U.S. at 406, 99 S.Ct. at 2367. The Supreme Court concluded that Davis was not otherwise qualified because she could never benefit from the school's program. Davis' handicap prevented her from safely performing in both her training program and her proposed profession.

[13] Given the basis for the Supreme Court's holding in Davis, we do not find that it bars § 504 relief for the plaintiffs. In *Camenisch* this Court interpreted the scope of the Supreme Court's decision in *Davis* in a highly limited fashion. There we stated, "The Supreme Court's decision in *Southeastern Community College* says only that § 504 does not require a school to provide services to a handicapped individual for a program for which the individual's handicap precludes him from ever realizing the principal benefit of the training." *Camenisch*, 616 F.2d at 133. *Camenisch's* limited reading of *Davis* was relied upon

by the former Fifth Circuit in *Tatro* as well, 625 F.2d at 564. We have no doubt that the plaintiffs would benefit from the extended programming under consideration. Moreover, we do not believe that even year round schooling, the most comprehensive substantive relief available to the plaintiffs, would constitute a change in the *scope* of services as envisioned by *Davis*. The Supreme Court in *Davis* recognized that, "the line between a lawful refusal to extend affirmative action and illegal discrimination against handicapped persons always will [not] be clear . . . ." 442 U.S. at 412, 99 S.Ct. at 2370. Here we conclude that due to § 504's broad recognition of the impermissibility of the "denial" of "benefits," the district court did not err in finding that defendants' actions fall without the bounds of the law.[16]

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[16] The United States, invited to file a brief as amicus curiae has blown hot, cold and hot as to the coverage under Section 504. In a brief filed in the district court, the Department stated that "under existing regulations implementing EHA-B (34 CFR 300.300) *and section 504* . . . state rule or policy that imposes time limitations on the provision of services to handicapped children precludes an individual determination of each handicapped child's unique needs for services." Notice published 45 Fed. Reg. 85083, Dec. 24, 1980. (emphasis added).

In a brief amicus filed since oral argument, the United States says:

> Careful review of the Section 504 issue has led us to conclude that our position in the district court—that the 180 day policy violated Section 504—was erroneous.

Most recently, counsel for appellees has provided us with a copy of a recent Memorandum, from the assistant secretary for civil rights of the Department of Education, dated May 24, 1983, which concludes with the statement:

> We have concluded that a recipient which categorically excludes consideration in its evaluation and placement procedures of any nonmedical service, including extended school year or extended school day services, violates Section 504 and its accompanying regulation at 34 C.F.R. § 104.33(a).

This last word from the Department, of course, supports the views we have expressed above.

VII. Issues on Cross Appeal

Plaintiffs assert two bases for reversal on cross appeal. First, they claim that the district court, in declining to issue individual injunctions explicitly requiring more than nine months schooling for certain plaintiffs, applied the wrong legal standard under the Handicapped Act. Plaintiffs argue that the district court applied an "irreparable injury" standard rather than the "preponderance of the evidence" standard for *de novo* review specified in § 1415 (e)(2).

Plaintiffs are correct in emphasizing the importance of the Handicapped Act's preponderance of the evidence standard. The court in *Campbell v. Talladega County Board of Education*, 518 F.Supp. 47, 53 n. 9 (W.D.Ala. 1981), explained that:

> The preponderance of the evidence standard codified at 20 U.S.C. § 1415(e)(2) reflects a decision to accord a greater rule in the enforcement scheme to the federal courts. The original House version which provided that the determination of the state agency would be "conclusive in any court of the United States supported by substantial evidence" was rejected by the conference committee and the present language was substituted. Senate Report Number 455, 94th Congress, 1st Session 47-50 (1975), *reprinted in* [1975], U.S.Code Cong. & Ad.News 1480, 1500-02.

[14] Despite this importance, plaintiffs' argument is unavailing on two accounts. First, the "irreparable injury" standard for injunctions is not a standard of persuasion, but a substantive requirement dictating the content of the proof that will be found probative. There would be nothing inconsistent in finding "irreparable injury by a

preponderance of the evidence."[16]

[15] Second, the court's requirements that local school boards carry the burden of determining need in the first instance and that they must give full consideration to the merits of educational placement for longer than 180 days comport with the wide discretion the statute affords a district judge in granting relief. The Handicapped Act provides that the judge, "shall grant such relief as is appropriate." 20 U.S.C. § 1415(e)(2). The court has no obligation under this section to rule on the merits of an individual IEP. The district court's refusal to grant the individual injunctions, while at the same time prohibiting exercise of the state and local defendants' general policy on duration, is the type of creative remedy permitted by the statute.

[16] Plaintiffs would also have us find the district court's injunction, prohibiting the defendants' across the board policy, insufficiently specific under Federal Rule of Civil Procedure 65(d).[17] Plaintiffs complain that the injunction offers no criteria by which to measure the compliance of the defendants. In light of the elaborate procedural requirements of the Handicapped Act, and the discretion

[16] The District Court's otherwise well thought out and documented findings, while not a model of clarity on this particular issue, seems to conform to the notion discussed in the text. The court stated, "Overall, the evidence presented was not conclusive enough to justify the issuance of the mandatory injunction [for the individual plaintiffs]."

[17] Federal Rule of Civil Procedure 65(d) provides: "Every order granting an injunction and every restraining order shall set forth the reasons for its issuance; shall be specific in terms; shall describe in detail, and not by reference to the complaint or other document, the act or acts sought to be restrained; and is binding only upon the parties to the action, their officers, agents, servants, employees, and attorneys, and upon those persons in active concert or participation with them who receive actual notice of the order by personal service or otherwise."

traditionally accorded the grant of equitable remedies, we do not find the injunction inadequate. Nothing prevents the plaintiffs from seeking further relief from the district court if the defendants fail to comply in good faith with the requirements of the injunction and the applicable federal standards.

The judgment of the district court is therefore AFFIRMED.

JAMES C. HILL, Circuit Judge, dissenting.

I respectfully dissent from the judgment in this case affirming the district court not because the judgment may not result in a proper and appropriate educational opportunity for the appellee and those in the class, but because, in my view, neither the district court nor this court is constitutionally entitled to, or under a duty to, decide the issue.

I have carefully studied the provisions of the Education for All Handicapped Children Act, 20 U.S.C. § 1401 *et seq.* While it contains a number of provisions, nowhere does it amount to legislation by the Congress on the issue seen as confronting the nation. It describes the problem which might cryptically be stated as the unsatisfactory educational opportunities found to be available to handicapped children. In a number of provisions, it announces that these handicapped children should have an "appropriate" education. It appears to me that the legislative branch, acknowledging the existence of a serious problem, has acknowledged that the solution to it should be the "appropriate" solution. This is not legislation; it is not even news! It goes without saying that when the Congress is confronted with a problem facing the country and feels that governmental intervention is necessary, the law to be passed should be an appropriate law.

In the Handicapped Act, a structure is created looking towards the determination, by some institution (not the Congress), of what an appropriate solution to the problem might be. Thus, the states which care to avail themselves of the financial resources provided by the law are required to establish plans that assure all handicapped children a "free appropriate public education." 20 U.S.C. § 1412. These plans are to be submitted to the then Commissioner of Education, now the Secretary of Education, for approval before any funds are to be made available to the state. 20 U.S.C. § 1413. If the Commissioner of Education should approve a plan providing for educational opportunities for the handicapped less than what might be found appropriate, no provision is made for an appeal. If the Commissioner refuses to approve a plan contended by the state to provide for appropriate educational opportunities, the state can appeal to the circuit court of appeals. 20 U.S.C. § 1416(b)(1).

Whether or not any of these procedures created by the congressional delegation to the agencies and the states results in an appropriate education for a handicapped child cannot be determined by reference to any of the provisions of the law passed by the Congress. Indeed, it cannot be determined by reference to any of the regulations established by the Commissioner in exercising the Commissioner's delegated authority. When all is said and done, if a citizen be dissatisfied with the education to be offered to a handicapped child, the entire matter is to be decided, de novo, by a United States district judge. 20 U.S.C. § 1415(e). What the district judge is required to do, if the Congress may delegate this power and responsibility to the district judge, is to determine, on a case-by-case basis, what is the "appropriate" answer to the problem seen by the Congress to confront the country.

As I view the Handicapped Act and the regulations, it appears that no branch of the politically responsive branches of government has yet been willing to provide the appropriate answer to the compelling problem. Although there are many provisions in the law, the Congress and the Department of Education take the position that the ultimate making of the law as to any particular state shall be that which a district judge deems to be an appropriate law. Nevertheless, the legislative branch cannot delegate or confer legislative power on the courts or impose legislative duties upon them because such duties are not judicial in nature. *See, e.g., National Mutual Insurance Co. v. Tidewater Transfer Co., Inc.*, 337 U.S. 582, 590-91, 69 S.Ct. 1173, 1177, 93 L.Ed. 1556 (1949); *Kilbourn v. Thompson*, 103 U.S. 168, 190-91, 26 L.Ed. 377 (1880).

The separation of powers created by our finely-tuned constitutional system of government is offended when the legislative branch undertakes to abdicate its sometimes most difficult tasks to the judicial branch. If that willingness on the part of the legislative branch to abdicate is met by a willingness on the part of the judicial branch to accept inappropriate power and responsibility, the system of government in this country is, to that extent, eroded.

I am aware that in *Board of Education v. Rowley*, ____ U.S. ____, 102 S.Ct. 3034, 73 L.Ed.2d 690 (1982), the Court determined what is generally meant by the Handicapped Act's requirement of a "free appropriate public education." My review of the case and the briefs, however, indicates that the constitutionality of the Act's delegation of legislative authority to district courts was not raised by the parties or considered by the Court. Here

also, no party litigant attacks the constitutionality or advisability of this attempt on the part of the Congress to pass over to the judiciary the difficult task of creating an appropriate law in this area. If it were attacked by the appellee and the class, it would jeopardize the funds to be used for the education of those so situated. If it were attacked by the local board of education or the State, the funds to administer the program would be in jeopardy. The Department of Education has represented to this court that it is quite comfortable with the law being made by the judiciary. Thus, all of the parties are satisfied that the legislative function in this difficult area be handled by the one branch of government not responsive to the electorate—the judiciary.

Unless those working in the judicial branch shall be, sua sponte, sensitive to this breach of the separation of powers, legislative activity will be exercised by the branch least appropriate to its exercise, not by virtue of any usurpation or "power grab" on the part of the courts, but by virtue of a willing abdication of this "hot potato" by the Congress and the executive. It has long been recognized that a federal court must on its own motion ascertain its jurisdiction and I apprehend that the same rule applies to the court's ascertainment of its power to act under Article III, Section 2 of the Constitution. That Article III judicial power extends to all cases arising under the laws of the United States but does not extend to the resolution of debates as to what the law of the United States ought to be—even though the legislative branch, charged with that responsibility and given that power to make the law, might invite the court so to act.

Inasmuch as I feel this delegation to be unconstitutional, I should, on that basis, vacate the judgment of

the district court and remand the case to the district court for dismissal, the question presented being within the domain of the Congress as established by Article I of the Constitution and beyond the jurisdiction estab lished by the Constitution for Article III courts.

DISTRICT COURT'S ORDER ON CLASS CERTIFICATION AND PRELIMINARY INJUNCTION

IN THE
UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF GEORGIA
ATLANTA DIVISION

GEORGIA ASSOCIATION OF RETARDED CITIZENS, et al.,
Plaintiffs,
v.
DR. CHARLES McDANIEL, et al.,
Defendants.

Civil Action No. C78-1950A

ORDER

This civil action for injunctive and declaratory relief arises under the Education for All Handicapped Children's Act, 20 U.S.C. § 1401, *et seq.*, Section 504 of the Rehabilitation Act of 1973, 29 U.S.C. § 794, the Fourteenth Amendment to the Constitution of the United States, and the Adequate Program for Education in Georgia, *Ga. Code Ann.* Ch. 32-6A. Jurisdiction is invoked pursuant to 20 U.S.C. § 1415(e)(4), 28 U.S.C. § 1343(3) and (4), and 28 U.S.C. § 1337. Presently before the Court are the motions by the named plaintiffs for class certification and for a preliminary injunction.

The named plaintiffs are the Georgia Association for Retarded Citizens, Russell Caine, a mentally retarded minor, and L. Douglas and Virginia Caine, Russell's parents. Defendants are the State Superintendent of Schools, the State Board of Education, and its members (the "State Defendants"), the Savannah-Chatham Board

of Education and its Superintendent and members (the "Local Defendants"). Plaintiffs have alleged that defendants have refused and failed to provide a free appropriate public education to handicapped mentally retarded children whose unique and special needs require a program of education and related services of a duration greater than the present 180-day school year.

I

The Education for All Handicapped Children's Act, 20 U.S.C. § 1401, *et seq.*, (hereinafter "the Act"), provides a procedure for determining a "free appropriate public education." This procedure includes the requirements of identifying potentially handicapped children, evaluating such children's needs and capabilities, planning and programming to meet those specific needs, and providing a due process mechanism to contest such evaluations or educational programs.

The Act defines a "free appropriate public education" as a program of,

> [S]pecial education and related services which (A) have been provided at public expense, under public supervision and direction, and without charge, (B) meet the standards of the state educational agency, (C) including an appropriate pre-school, elementary, or secondary school education in the State involved, and (D) are provided in conformity with the individualized education program required under 61414(a)(5) of this Title. 20 U.S.C. §1401(18). *See also* 20 U.S.C. §1412(1); 20 U.S.C. §1414(a)(1)(C)(ii); and 45 C.F.R. §121a.2.

The Act then defines "special education" in the following fashion:

> The term "special education" means specifically designed instruction, at no cost to parents or guardians,

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to meet the unique needs of a handicapped child, including classroom instruction, instruction in physical education, home instruction, and instruction in hospitals and institutions. 20 U.S.C. §1401(16).

The Act goes on to define "related services" as meaning:

Transportation, and such developmental, corrective, and other supportive services (including speech pathology and audiology, psychological services, physical and occupational therapy, recreation, and medical and counseling services, except that such medical services shall be for diagnostic and evaluation purposes only) as may be required to assist a handicapped child to benefit from special education, and includes the early identification and assessment of handicapping conditions in children. 20 U.S.C. §1401(17). *See also*, 45 C.F.R. §121a.14.

The fourth basic operational definition within the Act is the definition of "individualized education program." The Act defines the IEP as a

[W]ritten statement for each handicapped child developed in any meeting by a representative of the local educational agency . . . who shall be qualified to provide, or supervise the provision of, specially designed instruction to meet the unique needs of handicapped children, the teacher, the parents or guardian of such child, and whenever appropriate the child, which statement shall include (A) a statement that present levels of educational performance of such child, (B) a statement of annual goals, including short term instructional objectives, (C) a statement of the specific educational services to be provided to such child, and the extent to which such child will be able to participate in regular educational programs, (D) the projected date for initiation and anticipated duration of criteria and evaluation procedures and schedules for determining, on at least an annual basis, whether instructional objectives are being achieved. 20 U.S.C. §1401(19). *See also*, 20 U.S.C.

§1412(4), (6); §1414(a)(5); 45 C.F.R. §§121a.340-349.

To determine the needs of each child on an individual basis, so that a "free appropriate public education" may be provided each handicapped child, the Act requires a full and individual evaluation of each child's educational needs. 20 U.S.C. §1412(5)(c) and 45 C.F.R. §121a.531. The evaluations are then reviewed by an interdisciplinary team which must obtain information from the child's parents and other persons with knowledge of the child. The team must assess whether a child is handicapped under the Act and whether it must proceed with the development of an IEP. 20 U.S.C. §1412(5)(c) and 45 C.F.R. §121a.533. If an IEP is developed, it must contain, *inter alia*, long term and short term goals for the child and evaluation of his current level of functioning. This assessment then becomes the basis of the child's educational program and must be reviewed at least annually and revised as appropriate. 20 U.S.C. §§1412(4) and (6), §1414(a)(5), and 45 C.F.R. §121a.343(d).

In the instant case, Russell Caine's IEP called for the usual 180 days of programming over the strenuous objection of his parents. This action is, in part, an appeal from the decision of the State and Local defendants not to provide Russell more than 180 days of programming.

II

Certification of two separate classes is sought in this action. Plaintiffs Georgia Association for Retarded Citizens ("GARC") and Russell Caine, through his parents and next friends, L. Douglas Caine and Virginia Caine, seek to represent a class of persons composed of all handicapped children of school age in the State of Georgia who are mentally retarded, and who, because of their special

needs, require more than 180 days of public school programming of special education and related services. Plaintiffs GARC, L. Douglas Caine and Virginia Caine seek to represent a class of persons composed of the parents or guardians of all handicapped children of school age in the State of Georgia who are mentally retarded, and who, because of their special needs, require more than 180 days of public school programming of special education and related services.

Prior to certification of this lawsuit as a class action, the Court must initially find that each of the prerequisites set out in Fed.R.Civ.P. 23(a) is met. Rule 23(a) provides as follows:

> Class Actions. (a) Prerequisite to a Class Action. One or more members of a class may sue or be sued as representative parties on behalf of all only if (1) the class is so numerous that joinder of all members is impracticable, (2) there are questions of law or fact common to the class, (3) the claims or defenses of the representative parties are typical of the claims or defenses of the class, and (4) the representative parties will fairly and adequately protect the interests of the class.

A

It is undisputed in the instant case, and the Court finds, that the named individual plaintiffs are members of the classes they seek to represent. *See Bailey v. Patterson*, 369 U.S. 31 (1962). Plaintiff Russell Caine is a handicapped, mentally retarded child in need of more than 180 days of special educational and related services. Plaintiffs L. Douglas and Virginia Caine are his parents. The membership of GARC includes retarded children similarly in need of more than 180 days of special educational and related services and their parents. *Simon v. Eastern Kentucky Welfare Rights Organization*, 426 U.S. 26 (1976).

The Court further finds without objection from the defendants that the putative class is so numerous that joinder of all members is impracticable. "Impracticable," as it is used in the rule, means impractical, unwise or imprudent rather than incapable of performance or infeasible. *Republic National Bank of Dallas v. Denton & Anderson*, 68 F.R.D. 208 (N.D. Tex. 1975). In Georgia, there are 31,173 mentally retarded children, 313 of whom are Profoundly or Severly Mentally Retarded (hereinafter "PSMR"), the classification in which Russell Caine is found. The Court finds that it is the PSMR children who are most likely to require more than 180 days of services and infers from the total number of PSMR children in Georgia that the number of children and parents in each class would preclude joinder as a practical alternative. *See Senter v. General Motors Corp.*, 532 F.2d 511, 523 (6th Cir. 1976).

B

While the defendants have not objected to class certification on the above issues, the State defendants have attacked the class definitions as being so imprecise as to make the classes unascertainable. The State defendants cite several cases which stand for the proposition that class certification is inappropriate where a separate adjudication by the Court would be necessary to determine an individual's class membership. *E.g. Ihrke v. Northern States Power Company*, 459 F.2d 566 (8th Cir. 1972); *Metcalf v. Edelman*, 64 F.R.D. 407 (N.D. Ill. 1974). However, the Court finds these cases inapposite to the instant case where the initial determination of which handicapped, mentally retarded children require more than 180 days of special educational and related services is made administratively. While appeal from this administrative

process may ultimately be had to this Court, this Court does not find the potential review of some administrative decisions under these circumstances equivalent to the necessarily separate adjudications which foreclosed class certification in the cases cited by the State defendants.

In a similar vein, this Court finds State Defendants' reliance on *Burnham v. Department of Public Health of the State of Georgia*, 349 F. Supp. 1335 (N.D. Ga. 1972) misplaced. In *Burnham* the "class" purported to be patients at state mental institutions receiving constitutionally inadequate diagnosis, care and treatment. In that case, the Court rejected certification of the class because adequacy of treatment can only be measured against the needs of a particular patient. *Id.* at 1343. In the instant case, the Court is again confronted with a class contention of inadequate treatment, i.e., denial of a "free appropriate public education." However, in this case, the administrative agency charged with providing the education is also charged with determining each individual's needs with respect to that education. Therefore, the Court is not directly faced here with determining what is an appropriate education on an individual basis, but with preventing the arbitrary denial of what has been administratively determined to be appropriate or, in another setting, with preventing an arbitrary and capricious determination by the administrative agency.

C

In demonstrating the commonality of factual or legal issues, it is not necessary that all questions raised in a lawsuit be common to all members of the class. *Like v. Carter*, 448 F.2d 798 (5th Cir. 1971). To satisfy Fed.R. Civ.P. 23(a)(2), the Court need only find that common questions exist.

In the instant case, common questions of fact exist for each class pertaining to the defendants' alleged policy regarding more than 180 days of programming. Common questions of law include a determination of what constitutes an "appropriate" education and whether the State or local Boards are responsible for provision of extended services if they are needed to meet a handicapped child's unique needs. Thus the Court finds questions of law and fact common to the named plaintiffs and the putative class.

D

As noted above, the claims of the representative party must be typical of the claims of the class. Fed.R.Civ.P. 23(a)(3). A class plaintiff is typical of the class within the meaning of Rule 23(a)(3) if he does not have interests which conflict with those of the class, and his claims for relief are based on the same legal or remedial theory as other class members. *Gonzales v. Cassidy*, 474 F.2d 67 (5th Cir. 1973) (fn.7). In the instant case, the claims of the plaintiffs representing each putative class are based on the same legal theories. The State defendants' contention that the uniqueness of each individual child's training and educational requirements precludes typicality misses the point here.

E

The final prerequisite to a class action is a determination by the Court that the representative parties will fairly and adequately protect the interests of the class. Fed.R.Civ.P. 23(a)(4). Whether a plaintiff may be said adequately to represent a class depends on the lack of antagonistic interests between the named plaintiff and the class, as well as the named plaintiff's willingness and

ability vigorously to protect the interests of the class. *Gonzales v. Cassidy*, 474 F.2d 67 (5th Cir. 1973). Further, the named plaintiffs must have competent counsel who is willing and able to protect the interests of the class. *Eisen v. Carlisle & Jacquelin*, 391 F.2d 555 (2d Cir. 1968).

In the instant case, there appear to be no antagonistic interests between any of the named plaintiffs and the classes they purport to represent. The Court finds the plaintiffs to have demonstrated their willingness and ability to protect the interests of the class. The Court finds further, on the basis of plaintiffs' counsel's submission of prior experiences in other class action litigation that plaintiffs' counsel is competent, willing and able to protect the interests of the classes here seeking certification.

F

Class certification is sought on behalf of each class under Fed.R.Civ.P. 23(b)(2) which provides:

> (b) Class Actions Maintainable. Any action may be maintained as a class action if the prerequisites of subdivision (a) are satisfied, and in addition: . . . (2) the party opposing the class has acted or refused to act on grounds generally applicable to the class, thereby making appropriate final injunctive relief or corresponding declaratory relief with respect to the class as a whole. . . .

In the instant action, plaintiffs allege that the defendants have a policy which limits the special educational and related services in non-institutional settings to 180 days each year. Implementation of this policy allegedly results in the denial of an "appropriate" education to the plaintiffs and the members of the classes they seek to represent. Such implementation constitutes an act, or refusal to act, depending on one's perspective, on grounds

generally applicable to the class. Should the Court find these allegations substantiated, the instant action would certainly be one appropriate for injunctive or declaratory relief with respect to each of the purported classes.

In sum, this Court finds with respect to each putative class, that the instant action satisfies the prerequisites of Fed.R.Civ.P. 23(a) and qualifies for maintenance as a class action under Fed.R.Civ.P. 23(b)(2).

III

It is clearly established that precedent to a grant of preliminary injunctive relief by this Court, the plaintiffs must demonstrate the following: (1) a substantial likelihood that plaintiffs will prevail on the merits, (2) a substantial threat that plaintiffs will suffer irreparable injury if the injunction is not granted, (3) that the threatened injury to plaintiffs outweigh the threatened harm the injunction may do to the defendants, and (4) that granting the preliminary injunction will not disserve the public interest. *Morgan v. Fletcher*, 518 F.2d 236 (5th Cir. 1975); *Canal Authority of State of Florida v. Calloway*, 489 F.2d 565 (5th Cir. 1974). For the reasons outlined below, the Court finds that plaintiffs have not met each of these prerequisites.

A

To meet the special needs of Russell and the members of the class he represents here, plaintiffs allege that educational services must be provided for more than 180 days per year. Many of these children have a developmental age of a normal child two to three years old. They may not be toilet trained; they may require assistance in walking beyond a few steps; they may have trouble feeding or dressing themselves. The educational program

sought to be extended here is directed towards increasing these self-help skills and improving the children's ability to function in a group or, in other words, socialize.

Whenever there is a break in the training of these children, they tend to regress resulting in a loss of progress in the acquisition and generalization of these basic skills. It is this regression on which plaintiffs rely to establish their injury in seeking preliminary injunctive relief. However, this Court finds that the likelihood, evidenced by expert testimony presented by both the plaintiffs and defendants, that the skills lost through regression are generally recoverable within a reasonable time vitiates plaintiffs' argument that such injury is irreparable. The fundamental lack of evidence available with respect to the nature and extent of regression and the peculiarities of the problem for each child complicate this issue immensely. However, the record before the Court does not demonstrate the substantial threat of irreparable injury necessary before the Court may grant the requested relief.

B

The Court finds further that, at this late date, it would disserve the public interest to grant the preliminary injunction. The Local defendants estimate that it would take several weeks to structure, staff and implement an extended program for members of the class Russell represents. To require immediate provision of special educational and related services to children in the class would greatly disrupt the defendant organizations without necessarily providing concomitment benefits to the plaintiffs. *See generally Gomperts v. Chase*, 404 U.S. 1237 (1971).

IV

ACCORDINGLY, plaintiffs' motion for class certifica-

tion is granted. Plaintiffs GARC and Russell Caine, through his parents and next friends, L. Douglas Caine and Virginia Caine, are certified to represent a class of persons composed of all handicapped children of school age in the State of Georgia who are mentally retarded, and who, because of their special needs, require more than 180 days of public school programming of special education and related services. Plaintiffs GARC, L. Douglas Caine, and Virginia Caine are certified to represent a class of persons composed of the parents or guardians of all handicapped children of school age in the State of Georgia who are mentally retarded, and who, because of their special needs, require more than 180 days of public school programming of special education and related services. Plaintiffs' motion for preliminary injunction is denied.

SO ORDERED, this the 11th day of July, 1979.

/s/ HAROLD S. MURPHY
UNITED STATES DISTRICT JUDGE

DECISION OF THE DISTRICT COURT

IN THE
UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF GEORGIA
ATLANTA DIVISION

GEORGIA ASSOCIATION OF RETARDED CITIZENS, et al.,
Plaintiffs,
v.
DR. CHARLES McDANIEL, et al.,
Defendants.

Civil Action No. C78-1950A

MEMORANDUM OPINION

I. Introduction.

A. Description of the Case.

This is a class action lawsuit to redress an alleged deprivation of rights under the Education for All Handicapped Children Act ("Handicapped Act"), 20 U.S.C. §1401 *et seq.;* Section 504 of the Rehabilitation Act of 1973 ("Section 504"), as amended, 29 U.S.C. §794; the Equal Protection and Due Process Clauses of the Fourteenth Amendment; the Adequate Program for Education in Georgia Act, *Ga. Code Ann.* § 32-601a *et seq.;* and the Georgia Constitution. This court's jurisdiction is invoked pursuant to 20 U.S.C. §1415(e) and 28 U.S.C. §1343.

The named plaintiffs are the Georgia Association of Retarded Citizens ("GARC"), a nonprofit statewide organization created to promote the interests of mentally retarded citizens of all ages; Russell Caine, a profoundly mentally retarded child; and L. Douglas and Virginia Caine, Russell's parents. The defendants are the State

Superintendent of Schools, the State Board of Education, and the individual members of the State Board of Education ("state defendants"). Also named as defendants are the Superintendent of the Savannah-Chatham School System, the Savannah-Chatham Board of Education, and the individual members of the Savannah-Chatham Board of Education ("local defendants").

In essence, plaintiffs contend that defendants have policies or practices of refusing to consider the needs of mentally retarded children for educational terms in excess of the traditional 180-day school year. They contend that Russell Caine and certain other identified members of the class have shown a need for such additional schooling but that defendants, pursuant to their policies and practices, have refused to provide it. It is plaintiffs' position that these policies and practices of the defendants violate the rights of the plaintiffs under the Handicapped Act, as well as violating their rights under Section 504, the United States Constitution, and state law. They seek injunctive relief against the continued application of the policy and a mandatory injunction requiring the provision of extended school years to the named plaintiffs and certain other members of the class. Plaintiffs also seek a declaratory judgment pursuant to 28 U.S.C. §2201 that the policies of the defendants violate the above-cited statutory and constitutional provisions.

The defendants, on the other hand, contend that they have no policy or practice precluding consideration of a child's need for education beyond the traditional 180 days. They argue that the named plaintiffs and other identified members of the class have shown no need for an extended school year, and that in any event, the Handicapped Act does not require them to provide any more

than 180 days of schooling. Defendants have filed a motion for judgment on the pleadings as to all of plaintiffs' claims other than the claim under the Handicapped Act. The local defendants have additionally filed a motion to dismiss the individually named defendants as parties to this action and, with the state defendants, motions to redefine the class.

B. **History of the Case**

This matter was initiated in early 1978 by Mr. and Mrs. Caine's appeal of a decision by the local school board denying their request that the school system provide Russell with a 12-month educational program. An administrative hearing was held before the Chatham County Hearing Review Board on April 18, 1978, and the Caines subsequently received an adverse decision. The parents timely appealed to the State Board of Education in May of 1978, and the State Board ruled against them in October, 1978. The Caines then filed this action for review pursuant to the Handicapped Act in November of 1978, joining other legal and factual issues. In June, 1979, an evidentiary hearing on plaintiffs' motion for preliminary relief and for class certification was held before the Honorable Harold Murphy of this court.

Judge Murphy denied the plaintiffs' motion for preliminary relief the following month on two grounds. The court found that plaintiffs had not demonstrated so substantial a threat of irreparable injury as to merit the entry of preliminary relief, and also that a preliminary injunction would disserve the public interest. In that same order, Judge Murphy certified this matter as a class action on behalf of two separate classes. The first class was defined as follows: "All handicapped children of school age in the state of Georgia who are mentally re-

tarded, and who, because of their special needs, require more than 180 days of public school programming of special education and related services." Members of this class, whose parents testified at the trial, include—other than Russell Caine—Christopher Hodgson, Keith Jenny, and Anthony Jackson, all severely mentally retarded children from Chatham County. The second class was composed of the parents or guardians of all children in the first class.

Following transfer of the case to this judge, it was tried without a jury in the summer of 1980. Requiring three (3) weeks of testimony, the trial produced approximately 30 witnesses, many of whom were experts, and thousands of pages of exhibits for the court's consideration. The matters in dispute are highly emotional and were hotly contested by both sides.

C. **Issues Presented**

The central issue raised by this action is whether Russell Caine, a profoundly mentally retarded child, and other members of the class he represents are entitled to be considered for or to receive more than 180 days of free public education in order for the state appropriately to meet its obligations under applicable federal and state statutes. In order to decide this question, the court must consider several more specific issues, the most important of which are the following: (1) whether the local and state defendants, or either of them, maintain a policy or practice of failing to consider or provide for the needs of mentally retarded children for education in excess of 180 days per school year; (2) whether, if the policy or practice is found to exist, it violates applicable federal or state statutes; and (3) whether the evidence shows that the named plaintiff or other members of the class suffered a sufficient

degree of regression or other detrimental effects from the breaks in educational programming to demonstrate a need for an extended school year. The court must also determine whether the Handicapped Act and Section 504 can both be applied in this action, and consider the applicability of the other statutory and constitutional provisions alleged to have been violated.

II. Findings of Fact

A. Background

Mental retardation is a condition in which an individual's intellectual functioning skills are substantially below those of an average person of the same age. The degree of mental retardation can vary from the mildly or educably mentally retarded, whose intelligence quotients range between 70 and 50 and who may become independent, productive members of society, to the profoundly or severely mentally retarded ("PSMR") whose I.Q.'s are generally below 30 and who may have to be fully supervised for their entire lives. Many mentally retarded children lack basic living skills and have physical handicaps as well. This is more likely to be true the greater the degree of mental retardation, and particularly common with PSMR children. Plaintiff Russell Caine and the members of his class identified above are PSMR children.

Because of community fears and a belief that they could not be educated, mentally retarded children were historically excluded from the public schools in Georgia and the rest of the United States. Many were institutionalized for their entire lives. Even though the treatment of the mentally retarded improved with time, early school programs providing services for the mentally retarded still did not include the PSMR child. When school-

like services were finally provided for PSMR children, it was in the form of year-round segregated training centers which fell below the standards of public schools in many respects. In Chatham County, the St. Johns Training Center was operated by the Chatham Association for Retarded Citizens with the financial support of the Georgia Department of Human Resources to provide services for PSMR children on a year-round basis.

Judicial decisions in the early 1970's recognizing the rights of the mentally retarded to receive the benefits of public education led to the passage of major legislation to remedy the problem.[1] This legislation included Section 504 and the Handicapped Act. The Handicapped Act, 20 U.S.C. §1401 *et seq.*, is a funding statute to assist states in providing a free appropriate education to all handicapped children, and has several requirements for states receiving funds under it. Section 504, codified at 29 U.S.C. §794, prohibits discrimination against handicapped persons in all programs receiving federal financial assistance.

The state and local defendants in this action are recipients of funds under the Handicapped Act and therefore subject to its provisions and the provisions of Section 504. 20 U.S.C. §1413 requires any state desiring to receive the Handicapped Act funds to submit to the Commissioner of Education a "state plan" implementing an educational program for handicapped children. Requirements for those plans are also specified in §1413.

Georgia's State Board of Education submitted state plans to the United States Commissioner of Education to qualify for funding for fiscal years 1978-79 and 1979-80. The Bureau of Education for the Handicapped, a division of the United States Office of Education, approved

Georgia's state plans and allowed the state to receive funding for the aforementioned fiscal years. Georgia's state plans do not require local school systems to provide educational services for the mentally retarded in excess of the traditional nine month school year, but apparently do not prohibit the provision of such extended educational services. As will be more fully discussed below, the state defendants have taken the position that their policy regarding the provision of schooling in excess of 180 days is "permissive," that is, they will allow a local agency to provide excess schooling if the local agency so desires. The evidence reveals only one local educational agency which routinely provides more than 180 days of schooling for its mentally retarded children. The Board of Education of Douglas County, Georgia, provides a summer program for mentally retarded students based on the children's Individual Education Programs ("IEPs").[2] The Savannah-Chatham school district, like the other local educational agencies, only has 180-day programs.[3]

The Exceptional Children's Center ("ECC") has been operated by the Savannah-Chatham County School System for the past two years to provide services for mentally retarded children. There is general agreement that the quality of programming provided by ECC during the nine months that it is in service is quite good. The evidence revealed that the local defendants spend significantly more money per pupil for the students at ECC than for the normal children in the school system, and that the teacher-pupil ratio is approximately one to one. Although the plaintiffs admit that the quality of instruction at ECC is good, they argue that it should be extended to more than 180 days in duration.

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B. The 180-Day Policy

1. Local Defendants

The local defendants contend that they have never had an express or implied policy prohibiting the consideration of whether a PSMR child's unique needs require more than 180 days of schooling. They state that when devising an individual education program for a particular child, the needs of the child for year-round programming are indeed considered. It is their position that if a child were found to need an extended program, his IEP would reflect it and an extended program would be recommended. After careful consideration of this matter, the court concludes that the evidence belies these contentions.

No PSMR child has ever been found by the local defendants to require more than 180 days of school, and consequently, no program has ever been developed for a PSMR child to receive more than the traditional 180 days. Although it is possible that none of the children would require a year-round program, it is likely that if full consideration had been given to each child's need, at least some of the children would have been found to require some amount of education beyond the traditional nine months. The fact that all of the PSMR children in the Savannah-Chatham school district were uniformly found to require only 180 days of school indicates that the educational needs of these children were considered in the context of the amount of schooling available to them, 180 days, rather than in light of what would be required to achieve reasonable educational objectives.

Furthermore, in the local defendants' answers to the plaintiffs' first interrogatories the local defendants stated that "the Savannah-Chatham Public School System provides educational programs for all students for 180 days." That answer was given in response to Interrogatory #14

which asks whether the local defendants have any policy on the duration of educational services provided mentally retarded children. Also in response to Interrogatory #14, the local defendants quote the following provision of Policy No. 0971, adopted June 21, 1978: "The school year will consist of 180 actual attendance days for the students." The local defendants' response to Interrogatory #14 indicates that, at the time the interrogatories were answered, they had a policy limiting the school year for mentally retarded children to 180 days.

Additional evidence of the existence of a policy is found in the decisions of the local defendants in cases where the parents of mentally retarded children requested school years in excess of 180 days. The local defendants' refusals of these requests were grounded in the fact that the state only funded 180 days, and therefore that was all that would be provided. The needs of a child were in no instance articulated as the reason for refusing the request for an extended school year, only the lack of funding. In fact, the Chatham County Hearing Review Board (not a part of the Chatham County Board of Education), in its ruling on Russell Caine's appeal from the adverse decision of the local board of education, found that although there was some evidence of Russell's need for an extended school year, the 180 days provided was adequate because that was all that was required under state law.[4] The fact that the local defendants refused requests for extended school years because the state only required and funded 180 days indicates that the local defendants had a policy or practice of refusing to consider the needs of a child beyond 180 days.

None of the parents who testified at trial indicated that they had been told that their child did not require in excess of 180 days, or that their child had been considered

for schooling in excess of 180 days. It seems likely that if the local defendants did in fact consider each child's needs for a year-round program, there would have been some discussion of this consideration with the parents, particularly if it had been found that their child did not require more than 180 days of schooling. This failure to articulate to parents the fact that their children had been found not to require more than 180 days of school or that their children had been considered for more than 180 days indicates that there had been no such finding or consideration.

In light of the evidence discussed above, the court concludes that the local defendants did have a policy or practice of refusing to consider or provide for a mentally retarded child's educational needs beyond 180 days.

2. State Defendants

The state defendants contend that their policy regarding the provision of an extended school year for mentally retarded children is permissive. They argue that while the federally approved state plans under the Handicapped Act do not require local school systems to provide more than 180 days, local school systems are not prohibited from doing so and may provide extended school years if they desire. After careful consideration, the court concludes that the contentions of the state defendants are not borne out by the evidence.

The State Board of Education, as an appellate body in cases in which parents appealed the denial of extended school years by local boards, has never ordered a local board to consider a student's needs beyond 180 days or to provide in excess of 180 days for any student. In most of the cases that were appealed the State Board simply adopted the recommendation of the hearing officer, which was often based on the fact that there was no legal require-

ment that local boards pay for education in excess of 180 days.[5] In fact, there was some testimony that the State Board even instructed the hearing officers that they had no authority to order more than 180 days.[6] At any rate, in very few of these cases was there any discussion of whether or not the children needed more than 180 days of school.[7]

Under the Handicapped Act, the state defendants have a duty to make sure that local educational agencies receiving funds under it provide an appropriate education for handicapped children.[8] In monitoring the plans and programs of local educational agencies, the state defendants do not require the local boards to consider the need for schooling in excess of 180 days, or to provide it if needed. In fact, the state defendants have informed the local defendants that they need not consider whether a child needs schooling in excess of 180 days.[9] The evidence indicated that the state defendants have made clear their position that if any local educational agency determines that a child is in need of an extended school year, that local agency will be responsible for providing for the cost of the school year to the extent that it exceeds 180 days.[10]

It is apparent that although the state defendants' policy does not expressly prohibit the consideration or provision of an extended school year, it has that effect. If any local educational agency were to find that a child needed schooling in excess of 180 days, that local agency would have to bear its cost alone. The specter of having the responsibility for such a financial burden without any expectation of assistance might very well deter local educational agencies from considering the needs of handicapped children for schooling in excess of 180 days. In addition to refusing to pay for longer schooling, the state defendants have made it clear to the local districts that they need not

consider a child's needs for such excess schooling. When viewed in this light, it is clear that the state defendants' policy, although neutral on its face, has the effect of prohibiting the consideration of a child's needs beyond 180 days.

In addition to the evidence discussed above, there was some testimony from representatives of the state defendants relating to the existence of a limiting policy. Employees of the State Department of Education testified that they understood the State Board's policy to be that 180 school days is all local school districts are required to provide.[11] A 180-day school year has been the tradition in this state, and all plans are made with that period of time in mind.

The court is of the opinion that the decisions of the State Board in cases involving extended school years, the position of the State Board regarding the consideration of needs for schooling in excess of 180 days by local boards, and the testimony of Department of Education officials about state board policy all evidence the existence of policy on the part of the state board which would prohibit the consideration of a child's needs for schooling in excess of 180 days. The court concludes that both the local defendants and the state defendants, contrary to their contentions, have policies which prohibit the consideration of mentally retarded children's needs for schooling in excess of 180 days and the provision of such excess schooling if necessary.

C. **Effects of Breaks in Programming**

During the trial a substantial amount of time was consumed in the presentation of evidence on the effects of breaks in programming on the educational achievement of

mentally retarded children. The plaintiffs contend that long interruptions in programming cause the mentally retarded to suffer a significant amount of "regression."[12] They maintain that regression is likely to occur during the three-month summer break and that, generally, mentally retarded children suffer greater regression and with worse effect than normal children. The defendants contend that there has not been a sufficient showing of regression to merit relief, that the evidence presented does not show that the mentally retarded children involved in this case regressed during the summer of 1979, and that a break in school programming for the summer is beneficial in many respects.

1. Plaintiffs' Evidence

The plaintiffs produced a considerable amount of expert testimony seeking to support their contention regarding the damaging effect of summer breaks in programming. This evidence was to the effect that mentally retarded children can suffer educational regression during interruptions in programming, with direct consequences on their progress. There was testimony that regression might involve the actual loss of skills previously acquired or a lack of motivation to perform skills that a child had retained, and included assertions that regression can cause primitive and self-destructive behavior and possibly affect many skills, including motor and communications abilities. The leading expert witness presented by the plaintiffs was Dr. Paul Alberto, an expert in the area of special education and a professor at Georgia State University. He testified that in his opinion certain external environmental influences can be detrimental to a retarded child during the acquisition phase of learning. Dr. Alberto testified that interruption of the learning process in the

structured environment of the school could cause regression. He further testified that mentally retarded children are more likely to regress than normal children because their relative inability to adjust to new environments could cause them to use acquired skills less frequently than normal children. Dr. Alberto stated that when acquired skills are used less frequently the child is more likely to lose them.

The plaintiffs' expert testimony generally supported the position that educational regression can have dire consequences for PSMR children since so many of the skills that PSMR children learn are basic living skills. These experts generally agreed that a continuous program of education would lessen the likelihood of educational regression in many such children.

While plaintiffs' expert testimony was scholarly and generally of a persuasive nature on the possibility of regression in mentally retarded children because of summer breaks in programming, it must be noted that the evidence was theoretical. Plaintiffs' witnesses conceded that there is very little empirical data on the subject of regression in mentally retarded children. In addition to the expert testimony, plaintiffs presented other evidence seeking to show regression in specific children, mainly through the testimony of their parents.

Specific Children

Russell Caine

Russell is a PSMR child approaching the age of puberty with a mental age of approximately two. In addition to being retarded, Russell suffers from certain physical handicaps. There was testimony that he showed some regression over weekends and holidays while he was at

the St. Johns Center prior to his entering the public school system. Russell's mother testified that she was not able to work as successfully with Russell as were more highly trained personnel, and that she could not get him to perform his skills with the same degree of quality that other persons could. She further testified that she observed a deterioration in his walking and feeding skills during the summer months of 1979. A physical therapist at ECC testified that she had to restrict the number of persons who could walk with Russell during the 1979-80 school year because Russell was demanding too much support from them. Dr. Bill Weaver, a licensed psychologist, performed certain evaluations on Russell in 1979 and 1980 which generally indicated that the child had made little progress since entering ECC. The plaintiffs contend that the above constitutes sufficient evidence of regression in Russell to merit a continuous educational program.

Christopher Hodgson

Christopher Hodgson is a severely retarded child attending ECC in Savannah. Christopher's mother testified that her son exhibited an increased amount of behavior problems during the summer of 1979, including feces smearing, head-banging, and destructive tantrums. Some of these problems continued when Christopher returned to school. It is the plaintiffs' contention that these problems occurred contemporaneously with Christopher's being out of a continuous school setting for the first time in six years, and that they evidence a need for a continuous education.

Keith Jenny

Keith is also a severely retarded child who attends ECC in Savannah. As did Christopher, Keith exhibited

an increased frequency of behavior problems over the summer of 1979. His problem included head-banging, picking his nose and gums until they bled, and intentional vomiting. Plaintiffs contend that Keith's maladaptive behaviors were caused by a lack of the constant training and attention he received while in school, and that they evidence a need for an extended school year.

Anthony Jackson

Anthony Jackson is also a severely retarded child attending ECC in Savannah. Anthony's father testified that over the summer of 1979 Anthony's self-feeding skills deteriorated and he showed an increased frequency of toilet accidents. The plaintiffs' contend that Anthony's decreased skill level was caused by the interruption in programming, and that he exhibits a need for an extended school year.

Based on the above evidence, the plaintiffs contend that Russell Caine and the other identified members of the class exhibit a need for schooling in excess of 180 days, and request that this court order the local defendants to provide it.

2. **Defendants' Evidence**

The defendants also produced a considerable amount of evidence on the subject of regression, including testimony by expert witnesses and by teachers and aides who actually worked with the children enrolled at ECC. The teachers' and aides' testimony was uniformly that no regression was found in Russell or any other members of the class enrolled at ECC as a result of the 1979 summer break. Some staff members of ECC testified that many of the children enrolled actually showed progress in many skilled areas at the end of the 1979 break. The testimony

of the ECC staff members was based on their observation of the children and heavily depended on an assessment study developed and carried out by the local school system. This study, known as the "portage," constituted defendants' main line of attack upon plaintiffs' claim of regression and consisted of a unique and novel application of the Portage Guide to Early Education. The portage is primarily a teaching tool and is a checklist of over 500 skills to be performed by children; it is designed to be administered to students with a developmental age of up to six years and grades children on the number of skills they can master. Primarily intended to be used in determining what skills a child has and what skills remain to be taught, it is undisputed that the portage was not designed to measure a child's developmental age.[13]

Although the portage was already being used in the Savannah-Chatham County school district in 1979 to evaluate skills, the local defendants began to use it during that year to assess the progress of PSMR students in the district. The test was administered to the 28 PSMR children in the Savannah-Chatham County school district and the results were compiled and analyzed. Members of the ECC staff who participated in the study testified that based on their findings, only one child regressed over the summer of 1979. They testified that this particular child regained his lost skills within a week and made substantial progress during the course of the year. The ECC staff members further testified that all other children tested on the portages showed varying degrees of progress over the summer of 1979. The defendants argued that the results of the portage study and evaluation, which were introduced into evidence by way of oral testimony and documents, constituted empirical evidence and refuted plaintiffs' regression claims. Defendants also

contend that the results of the portage evaluation of the PSMR children in question were due more weight than expert testimony on regression, which was basically theoretical in nature.

The court has carefully considered and weighed the evidence presented by defendants based on the specific use made of portage tests and the results obtained. The court is compelled to find, however, that the portage studies' assessments and evaluations of PSMR children are of doubtful probative value on whether or not the children regressed during the summer of 1979. The portage was designed to be a teaching tool rather than a method of assessing a child's mental ability or educational progress. Moreover, the administration, testing, and analysis of the portages were inconsistent in pattern and methodology. Nor have the portages been certified or validated for the purposes for which they were used by members of the ECC, i.e., to evaluate the children involved and compile the data testified to in the instant case.

The defendants advanced three specific theories why summer vacations are advantageous to PSMR children, this evidence being presented primarily by way of expert testimony. The first is the "battery recharge" or "burned-out" theory. Defendants contend that after nine months PSMR children, as well as their teachers, tend to become tired and bored with the structured environment of the ECC school program, and that a break is needed in order for them to be motivated. They contend that the children return to school after such a break "charged up" and more highly motivated to participate and perform. The second theory advanced by defendants was that the summer vacation allows PSMR children an opportunity to obtain a valuable informal education not available in the

structured environment of the school. It is maintained that this informal education is just as important in a child's total development as the educational program received at the school. Thirdly, the defendants theorized that the summer vacation allows PSMR children an opportunity to benefit from a generalization process, that is, affording them the opportunity to transfer skills learned at school to the home environment. Defendants contend that these skills are more valuable once a child learns to use them in everyday living.

On the subject of whether behavioral problems are caused or exacerbated by a break in summer programming, defendants state that such problems should be treated where they occur, in the home. Defendants urge that the difficulties are medical in nature and outside the scope of school system's obligations.

There is disagreement between the parties regarding mentally retarded children's needs for speech and physical therapy on an extended basis. Plaintiffs contend that many PSMR children require continuing physical and speech therapy, necessitating provision for such services beyond the 180 days provided for in the Savannah-Chatham system. Defendants dispute this and contend that any such speech and physical therapy needs can and should be carried out in a home program during the summer. They offered evidence that this had been done successfully in the Savannah-Chatham district. Defendants also argue that these needs are in any case basically medical in nature and not the primary obligation of the school system.

3. The Court's Findings

The contentions asserted and evidence presented on

the question of the effects of breaks in programming has been carefully reviewed and weighed by the court. The evidence has mainly dealt with the subject of regression and to a lesser extent the related subject of recoupment of skills.

As would be expected in a case of this nature, a barrage of expert testimony has been presented by both sides on various aspects on the question. The defendants also offered testimony on the results of portage studies of the children involved. Although the court does not find that the portage studies are without weight or value, it does discount their probative value on the issue of regression, as pointed out above. As to the conflicting testimony of parents and ECC staff personnel, while it has probative value on the issue involved, all of this testimony must be weighed and evaluated in the light of the witnesses' proximity to the problems involved in this case and the issues being litigated. One fact that is of considerable interest to the court is that all of the members of the staff of ECC who appeared testified uniformly that none of the PSMR students who were evaluated regressed during the summer of 1979. This testimony conflicts with other evidence in the case and a belief, common among educators, that as a general rule all school children have regression and recoupment problems due to the three months of the summer vacation.

With regard to the weight to be accorded the various testimony adduced at trial, it cannot be overlooked that the parents are strong advocates for twelve months of instruction for their children. As defendants pointed out, some parents may wish the school systems to take total responsibility for the care and education of PSMR children. The court has also borne in mind that teachers are

accustomed to a nine-month education period. It is also important that the teachers have been trained in the area of special education and possess more expertise in this regard than most parents. In this connection, the court was impressed with a statement by Anthony Jackson's father to the effect that a particular teacher could get Anthony to respond in ways that the parents could not.

The court does not accept the defendants' contention that behavioral problems and speech and therapy needs are not the obligation of the educational system. Treatment of these types of problems in many cases may be a part of a child's special education program, or may be necessary in order for a particular child to benefit from its education. The Handicapped Act's definition of related services indicate that the treatment of such problems was contemplated for handicapped children, including the mentally retarded. Further, some of the services already provided by the state school system encompass this type of need.

The evidence presented on the degree of regression suffered over the summer by the individual child plaintiffs was inconclusive. While the court rejects altogether defendants' asertion that no regression took place, it remains unconvinced that the mandatory injunction sought by the named children, which would order them to be given summer schooling, should issue. The bulk of evidence showing a likelihood of regression applied to retarded children in general and was not tied to the individual child plaintiffs. That which did address the children's individual regression came primarily from their parents, who are also parties to this action. Overall, the evidence presented was not conclusive enough to justify the issuance of the mandatory injunction. This is not to say that

the individual child plaintiffs cannot show at the administrative level that a longer school year is dictated, but merely that they have not done so in this case because the evidence presented was more generally applicable to the class as a whole. The requested injunction must be denied.

The court has found that defendants have policies prohibiting the consideration or provision of school years longer than 180 days, which means that these children have never had their individual needs for longer school years evaluated. As discussed below, see pages [34c-37c] *infra*, the court is of the opinion that these individualized determinations should be made in the first instance by the defendants. If the children's needs are considered without the inhibiting limitation of the 180-day policy, it is entirely possible that they will be found to require more than 180 days of school.

III. Conclusions of Law

A. The 180-Day Policy and the Handicapped Act

The Education for All Handicapped Children Act ("Handicapped Act"), 20 U.S.C. §§1401 *et seq.* (1976), provides financial assistance to states in furnishing educational services to handicapped children. The receipt of federal monies, however, is not without its price. The Handicapped Act imposes certain duties and obligations upon states which must be performed in order to continue the flow of funds. Generally, the Handicapped Act requires all states receiving funds thereunder to effect "a policy that assures all handicapped children the right to a free appropriate public education." 20 U.S.C. §1412(1). 20 U.S.C. §1401(18) defines "free appropriate public education" as:

> Special education and related services which (A) have

> been provided at public expense, under public supervision and direction, and without charge, (B) meet the standards of the state educational agency, (C) include an appropriate preschool, elementary, or secondary school education in the state involved, and (D) are provided in conformity with the individualized education program required under §1414(a)(5) of this title.

The Handicapped Act defines "special education" as a program of instruction which is specially designed "to meet the *unique* needs of a handicapped child," free of charge to the parents or guardians of such child. 20 U.S.C. §1401(16) (emphasis added). "Related services" are those supportive services which may be necessary for a certain handicapped child to benefit from the special education he is to be provided with. *Id.* §1401(17).

An appropriate education for each child is generally determined by that student's "Individualized Education Program" (IEP). An IEP is a written statement for each handicapped child which is developed at a meeting between the child's parents, teacher, and a qualified representative of the school board or agency that is to provide services for that child. The IEP is required to contain a statement of the child's present level of performance, a statement of goals and objectives for that child, a statement of specific services to be provided to the child, the projected date for the commencement of such services, and the anticipated duration of the services. The IEP should also contain some neutral criteria for determining whether the child's objectives are being achieved, and should be reviewed and revised where appropriate on a periodic basis. *Id.* §§1401(19) & 1414(a)(5). The IEP is the key to determining what services a child needs, and with what services he will be provided.

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In addition to the substantive provisions set forth above, the Handicapped Act provides certain procedural safeguards to insure the provision of a free appropriate education for each child. The parents have the right to examine all relevant records pertaining to the placement of their child in order to obtain an independent evaluation of the child's educational needs. Parents are entitled to written, prior notice of any proposed or refused change in their child's program, and have the right to complain about any matter relating to the provision of a "free appropriate public education" to their child. If a complaint is filed as provided in the Handicapped Act, the parents or guardian have the right to an impartial due process hearing before a person employed by the agency or unit which is to provide education or care for the child. *Id.* §1415(b).

If the hearing is conducted by a local district, an aggrieved party has the right to appeal any final decision to the state educational agency, which is required to conduct an impartial review of the local hearing. *Id.* §1415(c). Any person aggrieved by a final decision of the state educational agency has the right to bring a civil action in state or federal court with respect to any complaint that has been filed. *Id.* §1415(e).

From the above discussion it is clear that the Handicapped Act places an emphasis on the individual needs of a particular handicapped child. "Special education" is defined in terms of what is necessary to meet a handicapped child's unique needs. The provision of "related services" is also individual-oriented since a supportive service necessary for one child might not be required for another. Further, "related services" by definition includes "the early identification and assessment of handicapping

conditions in children." *Id.* §1401(17). This is also an individual-oriented function, particularly in light of the broad range of handicaps covered by the Handicapped Act. *Id.* §1401(1). Also, the procedural safeguards make sure that the individual needs of each child are fully considered and provided for.

The Handicapped Act's emphasis on the individual child is most clearly shown by the requirement of an IEP. The conference preceding the IEP is designed to consider a child's specific needs, including the development of reasonable goals and objectives for the child. The IEP is to be based upon those considerations. There can be no doubt that the function of the IEP is to develop a plan of education appropriate to the particular needs of each handicapped child. This court concludes that the Handicapped Act requires that full consideration be given to the individual educational needs of each child in the development of a program for that child. To the extent that a state has a policy which prohibits, or even inhibits, such full consideration, the court is of the opinion that such policy constitutes a violation of the Handicapped Act.

The Handicapped Act places the ultimate responsibility for compliance on the state educational agency. 20 U.S.C. §1412(6) provides:

> The state educational agency shall be responsible for assuring that the requirements of this subchapter are carried out and that all educational programs for handicapped children within the State, including all such programs administered by any other State or local agency, will be under the general supervision of the persons responsible for educational programs for handicapped children in the State educational agency and shall meet education standards of the State educational agency.

Section 1414(d) of the Handicapped Act states that whenever a state educational agency determines that a local education agency is, for various reasons, not providing programs of free appropriate education, "the state educational agency shall use the payments which would have been available to such local educational agency" to provide an appropriate education directly to the handicapped children in the area served by the local agency.

The court concludes that the above-discussed provisions of the Handicapped Act clearly places the responsibility on the state educational agency to make sure that local agencies provide adequate educational services to handicapped children, or to provide directly such services themselves.

The court must now determine whether the defendants' policies violate the provisions of the Handicapped Act. In light of the Handicapped Act's clear emphasis on the individualized needs of each child, as discussed *supra*, the court concludes that an across-the-board policy prohibiting the consideration of a child's needs beyond 180 days violates the Handicapped Act, as does a policy limiting the provision of schooling to 180 days. A policy limiting schooling regardless of individual needs contravenes the defendants' obligation to make a case by case determination. Such a policy assumes that no child needs more than 180 days, without any individual consideration.

The defendants argue that the language of the Handicapped Act, the regulations promulgated thereunder, and the legislative history indicate a congressional intent that handicapped children only be provided the 180 days of schooling traditionally provided to normal children. This court disagrees. It is well settled that the starting point in the construction of any statute is its language. *See*,

e.g. Southeastern Community College v. Davis, 442 U.S. 397 (1979). As discussed above, the language of the Handicapped Act clearly indicates that an emphasis should be placed on the individual needs of each child in developing an educational program for that child. A policy which prohibits such individual consideration clearly violates the provisions and intent of the Handicapped Act. The clarity of the statutory language obviates any need to consider the agency interpretation or legislative history. *See, e.g., Consumer Product Safety Commision v. GTE Sylvania, Inc.*, 447 U.S. 102, 100 S.Ct. 2051 (1980); *Tennessee Valley Authority v. Hill*, 437 U.S. 153 (1978); *Glenn v. United States*, 571 F.2d 270 (5th Cir. 1978).

The court concludes that the Handicapped Act places responsibility on defendants to fully consider the individual needs of all handicapped children. As the 180-day policy prohibits or at best limits individual consideration of the needs of each child it must be discontinued. In the case of the state defendants, the manifestations of the policy include a refusal to require local school districts to consider or provide for schooling in excess of 180 days, a refusal to pay for schooling in excess of 180 days, and a failure to fully consider the needs for excess programming in cases that have been appealed to it.

There can be no question that the defendants must provide schooling in excess of 180 days for any child that may need it. The Handicapped Act's mandate in this regard is clear. The state and local defendants have a joint obligation to provide each handicapped child with an appropriate education, with the ultimate responsibility for such provision falling on the state. If a particular child is determined to need schooling in excess of 180 days in order to have a "free appropriate public education," the

defendants are obligated to provide it.

The conclusion in this case is supported by the holding of the Third Circuit Court of Appeals in *Battle v. Commonweal. of Pennsylvania*, 629 F.2d 269 (3rd Cir. 1980). In *Battle*, the court was faced with the question of whether or not an administrative policy of Pennsylvania which set a limit of 180 days of instruction per year for all children violated the Handicapped Act.[14] After reviewing the relevant facts and the provisions of the statute, the Third Circuit concluded Pennsylvania's policy to be "incompatible with the Act's emphasis on the individual." 629 F.2d at 280. The court further held that "the 180 day rule imposes with rigid certainty a program restriction which may be wholly inappropriate to the child's educational objectives." *Id.*

Unlike the defendants in *Battle*, the instant defendants contend that they have no policy prohibiting the consideration of a child's needs for schooling in excess of 180 days. However, after consideration of all the facts, the court has conclusively found that such a policy does exist on the part of both local and state defendants. The issue in this case regarding the policy therefore becomes the same as was faced in *Battle*, and the same result in reached.

Further support is drawn from *North v. District of Columbia Board of Education*, 471 F.Supp. 136 (D.D.C. 1979). In *North*, the Court had to decide whether a severely handicapped sixteen year old epileptic needed to be placed in a residential facility and, if so, whether the District of Columbia Board of Education was responsible for providing for that placement. The defendants contended that the child's needs were medical in nature, and that his educational needs could be adequately attended to without residential placement. The court decided that

the child's emotional and educational needs were closely interwoven, and that residential placement by the Board of Education was required. The Court entered a preliminary injunction to that effect. Although there are some obvious factual distinctions,[14] this court is of the opinion that the decision in *North* is supportive of the conclusion reached in the case at bar. The district court found that the Handicapped Act placed an obligation on the defendant school board to provide for a child's needs even though they differed from those of a normal child. Similarly, this court finds that the Handicapped Act places an obligation on defendants to consider and provide for the needs of handicapped children under its jurisdiction, even if such needs differ from those of normal children.

B. **The 180-Day Policy and Section 504**

The defendants argue that Section 504 of the Rehabilitation Act of 1973 ("Section 504") is inapplicable in the instant case. They contend that Section 504 does not place a duty on recipients of federal funds to act affirmatively and cite *Southeastern Community College v. Davis*, 442 U.S. 397 (1979) as authority. Further, it is their position that the Handicapped Act, as a more recent and specific statute, supersedes Section 504 on the question of education for handicapped children, and that the two acts should not be simultaneously applied in this case. The defendants also contend that Section 504 does not provide a private right of action for programs other than those pertaining to employment, and that since it prohibits discrimination, they are not in violation of Section 504 because the plaintiffs in this case are not being excluded from educational programs. They argue that the plaintiffs are seeking benefits that are not received by normal students, and that the intent of the Act was

simply to prevent plaintiffs and children like them from being entirely excluded from the system. Since there is no exclusion or discrimination, defendants urge that Section 504 does not apply.

After careful consideration of this matter, the court concludes that Section 504 is applicable to the plaintiffs' claim, and that the defendants' refusal to consider the educational needs of handicapped children beyond 180 days violates Section 504. Section 504, 29 U.S.C. §794, reads as follows:

> No otherwise qualified handicapped individual in the United States, as defined in Section 706(7) of this title, shall, solely by reason of his handicap be excluded from the participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance or under any program or activity conducted by any Executive agency or by the United States Postal Service. The head of each such agency shall promulgate such regulations as may be necessary to carry out the amendments to this section made by the Rehabilitation, Comprehensive Services, and Developmental Disabilities Act of 1978. Copies of any proposed regulations shall be submitted to appropriate authorizing committees of the Congress, and such regulation may take affect no earlier than the thirtieth day after the date on which such regulation is so submitted to such committees.

It is clear that Section 504 prohibits a handicapped individual from being denied the benefits of an educational program which receives federal financial assistance. This language shows that the congressional intent was not merely to prohibit the denial of access to such programs, but also to give handicapped persons an opportunity to enjoy the benefits of, and have access to, such programs. It indicates that special treatment or addi-

tional services may be necessary for the handicapped person to fully enjoy the benefits of his education. Mere access to conventional education may not be sufficient. If Congress had intended only to prohibit the denial of access to federally funded educational programs, it could easily have done so.

The United States Supreme Court has recognized that equal access may not always be enough to provide equal opportunity. In *Lau v. Nichols*, 414 U.S. 563 (1974), a case brought under Title VI of the Civil Rights Act of 1964, the court upheld the right of Chinese children to have bilingual instruction. The court held that "there is no equality of treatment merely by providing students with the same facilities, textbooks, teachers, and curriculum; for students who do not understand English are effectively foreclosed from any meaningful education." 414 U.S. at 566. The court stated that it was "obvious that the Chinese-speaking minority received fewer benefits than the English-speaking majority from respondents' school system . . ." 414 U.S. at 568. The court held that such receipt of different benefits constituted discrimination, and required that some relief be fashioned so that Chinese-speaking children could benefit from the school system.

Although brought under a different statute, the same reasoning is applicable in this case. Just as some special treatment was necessary for the Chinese-speaking students in *Lau* to enjoy the benefits of their education, some special treatment may be necessary for handicapped children to benefit from theirs. If a child needed a special service to gain equal benefit from his education, the denial of that service would constitute discrimination in violation of Section 504. Individual attention to the needs of

each handicapped child is the only way to determine whether such special or additional services are needed.

Regulations promulgated pursuant to Section 504 support the above interpretation. 34 C.F.R. §104.33 requires recipients of federal funds that operate public elementary or secondary school programs to "provide a free appropriate public education to each qualified handicapped person who is in the recipient's jurisdiction, regardless of the nature or severity of the person's handicap." 34 C.F.R. §105.33(a). Appropriate education is defined as regular or special education designed "to meet individual educational needs of handicapped persons as adequately as the needs of non-handicapped persons are met . . ." 34 C.F.R. §104.33(b)(1). Pursuant to 34 C.F.R. §104.33(b)(2), implementation of an IEP developed in accordance with the Act is one way of providing education that meets the individual needs of handicapped children. The regulations discussed above readily indicate the necessity for individualized attention to the needs of handicapped children. There can be no doubt that they, as does the Handicapped Act, place an emphasis on the individual.

A similar conclusion was reached in *Doe v. Marshall*, 459 F.Supp. 1190 (S.D.Tex. 1978). There the court held that Section 504 "places upon school districts . . . the duty of analyzing individually the needs of each handicapped student and devising a program which will enable each individual handicapped student to receive an appropriate, free public education." 459 F.Supp. at 1191. The court therefore entered an order enjoining the defendant school district from preventing the handicapped plaintiff from playing football during the school year in question. This court agrees with the court in *Doe* that Section 504 places a duty on school districts to individually consider

the needs of each handicapped student within its jurisdiction.

The defendants' contention that Section 504 only provides a private right of action in cases involving employment is clearly not the law in this circuit. In *Camenisch v. University of Texas*, 616 F.2d 127 (5th Cir. 1980), *cert. granted* 101 S.Ct. 352 (1981), the court allowed a deaf graduate student to bring a private right of action under Section 504 to challenge the denial of interpreter services by the University. After *Camenisch*, it is clear that in this circuit, a private right of action under Section 504 is proper in cases other than employment cases.

The defendants' position that the Act supersedes Section 504 and that they should not be jointly applied is similarly without merit. In *Tatro v. State of Texas*, 625 F.2d 557 (5th Cir. 1980), the court held that the failure to provide catheterization services for a handicapped child violated both the Handicapped Act and Section 504. Also, in *S-1 v. Turlington*, 635 F.2d 342 (5th Cir. 1981), the court held, *inter alia*, that the expulsion of handicapped students from school without consideration of whether or not the students' misconduct was related to their handicapped condition violated both the Handicapped Act and Section 504. In both *S-1* and *Tatro*, the Fifth Circuit applied the Handicapped Act and Section 504 together.

The defendants' reliance on *Southeastern Community College v. Davis*, *supra*, is misplaced. As the Fifth Circuit stated in *Camenisch*, *supra*, "the Supreme Court's decision in *Southeastern Comunity College* says only that Section 504 does not require a school to provide services to a handicapped individual for a program for which the individual's handicap precludes him from ever realizing

the principal benefits of the training." 616 F.2d at 133. The case sub judice is clearly distinguishable from *Southeastern Community College* because the instant plaintiffs will realize the principal benefits of their training.

Southeastern Community College is also distinguishable in that it involved a post-secondary educational institution and required the interpretation of a differenct set of implementing regulations than those at bar. In *Southeastern Community College*, the Supreme Court interpreted Subpart E of the regulations entitled "Post Secondary Education." The regulations involved in this case are contained in Subpart D which is entitled "Pre-school, Elementary and Secondary Education." Requirements and definitions applicable under one part may not be applicable under another.

The court concludes that Section 504, in conjunction with its implementing regulations, requires a recognition of the individual needs of all handicapped children by educational programs or systems which receive federal funds. Because the defendants' 180-day policy prohibits the individual consideration of children's needs, it violates Section 504.

C. **Provision of Extended Programs to Specific Children**

Plaintiffs also seek an order requiring the defendants to provide extended school year programs for Russell Caine and other identified members of the class. In view of the court's finding regarding the effects of breaks in programming, the mandatory relief requested cannot be granted. While there is evidence in this case which indicates that there may generally be a need for extended school programs for PSMR children, it does not clearly show that any particular PSMR child identified in this

case is in need of an extended year. Absent a showing or immediate irreparable harm, injunctive relief—particularly mandatory injunctive relief—is inappropriate. *See* 11 *Wright and Miller, Federal Practice and Procedure* §2942 at 377.

As the court has noted above, the defendants have never considered the needs of Russell Caine or the other individual children for schooling longer than 180 days. The evidence clearly showed that at the time the IEPs were developed for these children, the agents or employees of the defendants who participated in their formulation deemed that the school year had to be limited to 180 days. In fact, the defendants' policies had effectively placed such a limit on the school year. Although the State Board of Education, in its decision on Russell Caine's appeal from the decision of the local board, found that Russell did not have a need for 180 days, the decision of the lower board was clearly grounded on the fact that the state would only fund schooling for 180 days. The local hearing officer actually found that there was some evidence of a need for extended schooling for Russell Caine but felt that the local board was only obligated to provide 180 days.[14] In light of the above, it appears clear that the needs of Russell Caine or any of the other children for schooling in excess of 180 days were not considered.

The court is of the opinion that the individual determination of need should be made in the first instance by the state and local defendants. As the court noted in *Battle v. Commonwealth of Pennsylvania*, *supra*, the Handicapped Act contemplates this. This is most clearly evidenced by its requirement that the state develop plans for providing free appropriate public education, and its

provision that personnel of local and state educational agencies are primarily responsible for the formulation of a child's IEP. The tradition of local control of educational policy and decisions is long-standing. *See Milliken v. Bradley*, 418 U.S. 717, (1974); *San Antonio School District v. Rodriguez*, 411 U.S. 1, (1973); Note, *Enforcing the Right to a "Appropriate" Education: The Education for All Handicapped Children Act of 1975*, 92 Harv.L.Rev. 1103 (1979). Also, it should be noted that the difficulty of such decisions demands that they be made in the first instance by local officials with more specialized knowledge and expertise in the area. *See Battle v. Commonwealth of Pennsylvania, supra.*

In sum, the court concludes that the determination of whether any mentally retarded child needs more than 180 days of schooling is a burden that the defendants (not the plaintiffs) must carry in the first instance, i.e., in the IEP process. *See S-1 v. Turlington*, 635 F.2d at 349.[17]

Therefore, the court declines to require the defendants to provide the named plaintiff or any other member of the class specific extended school programs. However, the court will order the defendants to consider the named plaintiff and all other handicapped children's needs without limitations. Although the court has concluded that the child plaintiffs' individual needs for a longer school year have not truly been considered, it would be manifestly inappropriate for the court to attempt to make such specialized educational determinations without first permitting the state and local defendants to reconsider their findings in light of this order.

In reaching the above decision, the court is mindful of the possibility that the inevitable is merely being postponed. The Handicapped Act provides federal and state

judicial review of individual educational programs when appeals are initiated, which might very well require this court to evaluate the substantive contents of educational programs of individual children after the educational officials have acted.[18]

D. Violation of State Law and the United States Constitution

The plaintiffs have alleged that the policies of the defendants violate various provisions of the United States Constitution and state law. For the reasons discussed below, the court will not decide those claims.

Plaintiffs seek to invoke this court's pendent jurisdiction to review its claim under the Adequate Program for Education in Georgia Act, *Ga. Code Ann.* §32-601a *et seq.*, and other relevant state law. It is well established that the exercise of pendent jurisdiction lies in the discretion of the court. *See United Mine Workers v. Gibbs*, 383 U.S. 715, 86 S.Ct. 1130, 16 L.Ed.2d 218 (1966); *Gregory v. Mitchell*, 634 F.2d 199 (5th Cir. 1981). As this case involves novel interpretations of state law and state constitutional provisions, the court is of the opinion that those interpretations should be made by state courts in the first instance. *See, e.g., United Services Life Ins. Co. v. Delaney*, 328 F.2d 483 (5th Cir. 1964), *cert. denied* 377 U.S. 935. The court therefore declines to exercise pendent jurisdiction over the state claims.

With regard to the federal constitutional claims, the plaintiffs contend that the defendants' policies violates the Due Process and Equal Protection Clauses of the Fourteenth Amendment, and that these violations are actionable under 42 U.S.C. §1983. In light of the disposition of this case under the applicable federal statutes this

court needs not address the constitutional claims, and indeed judicial restraint requires that they be left alone. The relief granted to plaintiffs in this case, requiring the defendants to give individual consideration to the needs of each handicapped child, is as complete as it could be under the circumstances. No order could properly issue extending the school year for any of the children absent a showing of a need for such extension.

E. **Motion for Recertification of Class**

The defendants have filed a motion for redefinition or recertification of the class. Specifically, the defendants request that: (a) the class be limited to profoundly or severely mentally retarded children as opposed to all mentally retarded children as set forth in the order of June 11, 1979; (b) that the class be limited to the PSMR children in Chatham County rather than being statewide in scope as is now the case; and (c) if the court allows the class to remain statewide in scope, that the local defendants be dismissed as unnecessary parties or that the remaining 186 school systems be added as indispensable parties.

After careful consideration of the matter, the court concludes that redefinition of the class is not warranted. In July of 1979 Judge Murphy defined the class as including "all handicapped children of school age in the state of Georgia who are mentally retarded, and who, because of their special needs, require more than 180 days of public school programming . . ." and their parents. That definition describes all persons who are entitled to and have received relief pursuant to this order. This order, in effect, requires the state defendants to ensure that the needs of all mentally retarded children are considered

without the limitations of the 180-day policy. If a child needs programming in excess of 180 days, this order requires the state defendants to see to it that such additional programming is provided. Consideration of and provision for a mentally retarded child's individual needs is mandated by the Handicapped Act, regardless of whether the child is mentally retarded or PSMR. While it might be true that PSMR children are more likely to need extended programs, other mentally retarded children have the same right to have their needs considered; if they need extended programs, they have a right to have them provided. Since the defendants' policies violate the rights of all mentally retarded children, and since the relief granted in this order, an injunction against the continuation of that policy, will inure to the benefit of all mentally retarded children, the court concludes that the class need not be limited to PSMR children but that it can include all mentally retarded children.

The court also disagrees with the defendants' contention that the class should be limited to children in Chatham County. The state defendants' policy of refusing to consider or provide for the needs of a child for schooling in excess of 180 days violates the rights of all mentally retarded children in the state of Georgia under the Handicapped Act. That being the case, the state defendants must be required to discontinue the policy for all children in the state rather than just those in Chatham County. It would not be logical to allow the state to continue an illegal policy elsewhere in the state. Accordingly, the court concludes that the class should include all mentally retarded children in the state of Georgia.

The court disagrees with the contention of the local defendants that they should be dismissed as parties to this action because their participation is unnecessary to

afford complete relief to plaintiffs. Although their discontinuation of the policy will not have statewide effect, it is proper to require them to discontinue the policy as to that portion of the class that resides in Savannah-Chatham County. Since the local defendants have no responsibility for providing services to members of the class other than those in their school district, they will not be prejudiced by being required to remain in the lawsuit. Fed.R.Civ.P. 20(a) governs when parties may be joined as defendants in one action and provides in part that persons may be joined as defendants in a single action

> if there is asserted against them jointly, severally, or in the alternative, any right to relief in respect of or arising out of the same transaction, occurrence, or series of transactions or occurrences and if any question of law or fact common to all defendants will arise in the action. A plaintiff or defendant need not be interested in obtaining or defending against all the relief demanded.

It is clear that the local defendants are proper parties to this action under Fed.R.Civ.P. 20(a) and need not be dismissed.

The court also disagrees with the defendants' contention that the remaining 186 local educational agencies are indispensable parties. Under Fed.R.Civ.P. 19, a party must be joined if

> . . . (1) in his absence complete relief cannot be accorded among those already parties, or (2) he claims an interest relating to the subject of the action and is so situated that the disposition of the action in his absence may (i) as a practical matter impair or impede his ability to protect that interest or (ii) leave any of the persons already parties subject to a substantial risk of incurring double, multiple, or other-

wise inconsistent obligations by reason of his claimed interest.

Fed.R.Civ.P. 19(a). The court is of the opinion that complete relief can be accorded among the defendants who are already parties to this action. In requiring the state defendants to make sure that the needs of all children for education beyond 180 days are considered, the court is affording complete relief. The state defendants have a responsibility under the Handicapped Act to make sure that such needs are considered and provided for and must do so even if the local educational agency has no programs for mentally retarded children. By requiring the state defendants to carry out their obligation under the Handicapped Act, complete relief will be afforded to the plaintiff class. Since no order is being entered against the remaining 186 local school districts, their ability to protect their interest in this matter will not be impeded or impaired. The state defendants can make sure that all children's needs are considered by requiring the local agencies to consider such need or by considering such need themselves. The only effect that this order will have on local agencies is indirect, and the court concludes that the remaining 186 local educational agencies are not indispensable parties and need not be joined. *See Arias v. Wainwright*, 28 F.R.Serv.2d 483 (N.D.Fla. 1979).

F. **Motion to Dismiss Individual Defendants**

The local and state superintendents and members of the boards of education were sued in their official and individual capacities. The individual local defendants have filed motions to be dismissed from this cause. Having found no abuse of discretion and no acts in excess of their authority, the court concludes that the individual defendants should be dismissed from this action in their

individual capacities. *See Scheuer v. Rhodes*, 416 U.S. 232, 94 S.Ct. 1683, 40 L.Ed.2d 90 (1974); *Fowler v. Cross*, 635 F.2d 476 (5th Cir. 1981). However, the court finds it appropriate that the individual defendants remain in the action in their official capacities.

Further, it will be ordered that all of the individual defendants who no longer hold the public office that they held at the time this action was instituted be dismissed from this case, and that their successors in office be substituted therefor. Fed.R.Civ.P. 25(d)(1).

All motions not specifically addressed or ruled on above will be denied as moot.

IV. Relief Granted

A. Declaratory Relief

It is the judgment of this court that the policies of the defendants that prohibit the consideration and provision of schooling for mentally retarded children in excess of 180 days violate both the Education for All Handicapped Children Act ("Handicapped Act"), 20 U.S.C. §1401 *et seq.*, and Section 504 of the Rehabilitation Act of 1973, 29 U.S.C. §794. These policies prevent handicapped children from receiving the individualized attention to their needs that is mandated by both statutes. It is violative of these statutes to fail to consider whether a particular child needs schooling in excess of 180 days, and to fail to provide such excess schooling if needed.

It is further the opinion of the court that the defendants have an obligation to provide speech therapy, physical therapy, psychological services, and whatever other supportive services are necessary for children to benefit from their educational programs. Such services clearly fall within the definition of related services under the

Handicapped Act, and must be made available as part of the state's obligation to provide a free appropriate public education. The provision of such services is limited by statute, however, to those necessary to "assist a handicapped child to benefit from special education . . . ." 20 U.S.C. §1401(17).

It has not been determined by this court that the mentally retarded children here involved are entitled to a declaratory judgment that the defendants are obligated to provide educational programs in excess of 180 days to specific children since there has not been a conclusive showing of need for extended programs.

In making the rulings outlined in this opinion, the court is mindful that lurking in the background are many other thorny problems that have not been dealt with, such as financial limitations and the possibility of overburdening available school personnel. These and other issues must eventually be addressed by a court or some other appropriate body in the future.

B. **Injunctive Relief**

1. **The Continued Application of the Policy**

In view of the above determination, the court concludes that the plaintiffs are entitled to the entry of an injunction against the continued application of the 180-day policy by defendants. The entry of injunctive relief is appropriate in the absence of an adequate legal remedy for plaintiffs' claim. *See Lewis v. S.S. Baune*, 534 F.2d 1115 (5th Cir. 1976); 11 *Wright and Miller, Federal Practice and Procedure* §2944 (1973). Irreparable injury is one means of showing an inadequate remedy at law. In the instant case, it is clear that a continued application of defendants' policy prohibiting the consideration of or provision for a child's educational needs would work an

irreparable harm on the plaintiff class. As long as that policy is in effect, the mentally retarded children in the plaintiff class will not receive the full individualized consideration to which they are entitled, and thus might not receive a "free appropriate public education." Neither money damages nor any other legal remedy would compensate for this loss.

The harm that would befall the children in the plaintiff class from a continued application of the policy outweighs any possible harm to the defendants from discontinuing the policy. Also, the public interest is more likely to be served by a discontinuation of the policy than by its continuation. Therefore, the court has concluded that the defendants should be enjoined from refusing to consider the needs of handicapped children for educational programming in excess of 180 days, and from refusing to provide such excess schooling if needed.

2. **Mandatory Injunction for Specific Children**

As discussed above, there has been no definitive showing of a need for an extended school year for any particular children. Since there has been insufficient persuasive proof of need, the threat of irreparable harm has not been sufficiently shown to merit injunctive relief. Accordingly, the court refuses to require extended programs at this time for any particular children and will allow the state and local defendants to make their evaluations.

An appropriate order will be issued in accordance with the foregoing opinion.

This the 2nd day of April, 1981.

/s/ HORACE T. WARD

HORACE T. WARD
UNITED STATES DISTRICT JUDGE

FOOTNOTES

[1] *See, e.g., PARC v. Commonwealth of Pennsylvania*, 334 F.Supp. 1257 (E.D.Pa. 1971), 343 F.Supp. 279 (E.D.Pa. 1972), and *Mills v. Board of Education of District of Columbia*, 348 F.Supp. 866 (D.D.C. 1972).

[2] See pp. [22c-23c] *infra*.

[3] This does not include various voluntary programs and special programs that are designed to assist certain mildly retarded children. At issue in the instant case are only programs which are systematically and routinely provided by school districts.

[4] The written decision of the local hearing officer contained the following statement: "Evidence presented indicated that there is no need for a continuous program to optimally meet Russell Caine's needs, however, state law does not provide a funding pattern that will allow local educational agencies to operate beyond the 180 day school year." Plaintiffs' Exhibit 1, hearing of April 18, 1978, page 5.

[5] See Plaintiff's Exhibits 37, 90, 91, 92, 93, and 94.

[6] In a deposition taken on April 30, 1979 in connection with this proceeding, Eldon Basham, then legal assistant for the State Department of Education, testified as follows in response to questions from plaintiffs' counsel:

Q. Did you deal with the problem of what would occur if they found a program inadequate but did not suggest an alternative program?

A. In terms of saying that the school would have to come up with an appropriate program.

Q. Did you talk to them about the scope of things they could consider as to the issues of adequacy or not?

A. No.

Q. Have you ever spoken or trained or presented any correspondence to any hearing officer concerning whether or not they could consider more than 180 days during the scope of the due process hearing?

A. Not whether they can consider it, but whether they have the authority to order it. We said that we do not know whether the law requires more than 180 days, and have said that we do not think it is within their authority to order a school system to provide more than 180 days, but not that they can't consider it; just that we did not think—

Q. It was within their authority?

A. [Nods head affirmatively.]

Plaintiffs' Exhibit 24, page 13-14.

[7] *In re JEBG*, Case No. 1979-5, is illustrative. This case involved an appeal by a local school system from an order of a local hearing officer requiring the school system to provide a student with an 11-month program. Although the state hearing officer recommended that the decision of the local hearing officer be upheld, the State Board of Education refused to accept this recommendation on the ground that a local board is only responsible for the educational cost of a 180-day program. This case and the other cases discussed in the text indicate the state defendants' policy regarding the provision of schooling in excess of 180 days.

[8] *See* 20 U.S.C. §§1412(6), 1414(d); pp. [25c] *infra*.

[9] Dr. Allen W. Gurley, the director of the Division of Early Childhood Special Education, Title I of the State Department of Education, gave three separate depositions in connection with this proceeding which were all admitted into evidence as plaintiffs' exhibits. In a deposition taken in April of 1979, Dr. Gurley testified as follows in response to questions from plaintiffs' counsel:

Q. Do you correspond with hearing officers for the school districts for the purposes of mediation?

A. I have discussed mediation by phone with superintendents and administrative staffs.

Q. And during the discussions, has it included discussions of the word "appropriate" for the purposes of their providing educational services?

A. I don't recall.

Q. Has it included discussions of the word "adequate"?

A. I don't recall.

Q. Have you had any discussions concerning whether a school district needed to provide more than 180 days of school?

A. Yes.

Q. When did you have those discussions?

A. I don't recall.

Q. Have you had several discussions or a few discussions?

A. Very few.

Q. Have you been asked a question whether they have been required to so provide?

A. Right.

Q. And what has been your response?

A. No.

Q. Is that the policy of the state, that there is no policy requirement to provide?

A. Yes.

Q. And what is the basis or the legal authority, as you understand it, for that policy?

A. The State Board of Education.

Q. Has the State Board of Education passed on that official policy?

A. They have taken deposition in the hearing.

Q. And what hearing was that?

A. It's a hearing involving a child in Savannah.

Q. The hearing that was the hearing before this particular case?

A. Right.

Q. And it is your understanding that their position is a school district need not provide more than 180 days of services, even if the child's special needs would so require those services?

A. That is correct.

Later in the same deposition, Dr. Gurley testified as follows:

Q. Have you ever been asked as to whether they need to make that consideration?

A. It's been a practice that our services would be provided for 180 days, and if I had been asked, that's what I would say.

Q. So if a child is evaluated, he is evaluated for 180 days of school?

A. That is right.

Q. And an IEP is written for only 180 days in mind?

A. [Nods head affirmatively.]

Q. And there is no separate consideration as to whether that child would need 200 days or 300 days of school?

A. There may be by an LEA (local educational agency), but it would be at the LEA's own prerogative.

Q. And they would not receive any additional pass-through funds or additional state funds?

A. That's true.

Plaintiffs' Exhibit 23, pages 81-84.

[10] Dr. Herbert D. Nash, then the director of the Special Education Program of the State Department of Education, gave a deposition in April of 1978 in connection with the administrative proceeding in this case. In that deposition, admitted into evidence as Plaintiffs' Exhibit 18, Dr. Nash testified as follows in response to questions from plaintiffs' counsel:

Q. Is it not possible, sir, that a free and appropriate public education designed for a child's unique needs could include a program that is more than 180 days?

A. If the local education agency assumes the responsibility for

costs and for providing such a program though it extend beyond 180 days the answer would be yes.

Q. And that is under your understanding of the federal definition and requirements?

A. Yes.

Plaintiffs' Exhibit 18, page 25. In the same deposition, Dr. Nash testified that Georgia's "state plan" does not require local agencies to provide more than 180 days of school for mentally retarded children. Plaintiffs' Exhibit 18, page 11.

In a deposition also taken in April, 1978, Dr. Gurley testified further on this particular point:

Q. Now, if a local school district either voluntarily or under the requirement of a court order was forced to furnish more than or was required to furnish more than the 180 days of school, would they receive any state funds to your knowledge from the Department of Education under A.P.E.G. or any other program that you know of to help defray the costs of the additional school days?

A. I know of no funding source at this time . . .

Plaintiffs' Exhibit 17, page 16.

In a deposition taken as late as March of 1980, Dr. Gurley testified as follows:

Q. Does the state have a policy of whether they will fund or require the funding of private school placement for more than 180 school days or 270 calendar days?

A. Our assistance to the local school districts in what is known as a tuition grant is only for the regular school year, the nine months, the 180 school days or the 270 calendar days which are synonomous as far as time concerned.

Q. If a child's IEP which includes a private school placement requires that the placement be for a full or more than 180 school days or 270 calendar days, is there any policy, practice of the state board as to whether that should be funded by the local educational agency?

A. Local educational agencies have been advised that they are not prohibited from doing this.

Q. Have they been advised whether they are required to pay for it?

A. They are required to pay for what they agreed to in the IEP.

Plaintiffs' Exhibit 50, page 19.

[11] See Gurley Deposition of April, 1978 at pages 15 and 34; Nash Deposition at pages 8 and 13; and Gurley Deposition of April, 1979 at page 82.

[13] The evidence showed that there was no common definition of the term regression. In addition to varying definitions, the evidence also showed that there is no common agreement as to what variables (forgetting, motivation, etc.) are masked by the term. The term will be used in this order to refer to a drop in a child's level of performance.

[13] The application and results of the portage study described below apparently were developed in consultation with Dr. Keith Turner, an educational expert retained by the defendants during the course of the preparation for the trial of this case. Dr. Turner, a well-recognized authority in this area, was offered as the principal expert defense witness at the trial. Dr. Turner has testified in other major cases, including the district court trial in *Battle v. Commonwealth of Pennsylvania*, 629 F.2d 269 (3rd Cir. 1980).

[14] For an exhaustive discussion of the facts in *Battle*, see the well-written opinion of Judge Newcomer in *Armstrong v. Kline*, 476 F. Supp. 583 (E.D.Penn. 1979).

[15] *North* involved one student rather than a class action, and was concerned with a residential placement instead of the 180-day question.

[16] See Note 3, *supra*.

[17] In *S-1 v. Turlington*, *supra*, the court was faced with the question, *inter alia*, of whether the Handicapped Act and Section 504 placed the burden of raising the question of whether a student's misconduct was a manifestation of the student's handicap on the state or on the student. The court made the following conclusion: "In light of the remedial purposes of these statutes, we find that the burden is on the local and state defendants to make this determination." 635 F.2d at 349.

[18] In *Battle*, the Third Circuit, after making a similar conclusion, commented as follows:

> We recognize that by this decision we may merely be postponing the inevitable. The statute provides for federal and state judicial review of individual educational programs which have been appealed through the statutory procedure. *Id.* §1415(e)(2). Thus it is quite possible that, in the future, we will be called upon to evaluate the substantive content of educational programs developed under the Act. We are hopeful, however, that prior to that day the states, in cooperation with the Commissioner of Education will establish acceptable guidelines to aid in that most difficult decision.

629 F.2d at 281. This court shares that hope.

ORDER OF COURT

In accordance with the Memorandum Opinion filed in the above-captioned case, it is hereby ORDERED and ADJUDGED as follows:

1. Plaintiffs' request for declaratory relief is GRANTED as set forth in Part IV-A of the Memorandum Opinion. In summary, it is hereby declared as a matter of law that the local and state defendants' policies and practices of refusing to consider and provide for the educational needs of mentally retarded children for schooling in excess of 180 days per year violate both the Education for All Handicapped Children Act, 20 U.S.C. §1401 *et seq.*, and Section 504 of the Rehabilitation Act of 1973, 29 U.S.C. §794.

2. Plaintiffs' request for injunctive relief is GRANTED in part and DENIED in part as hereinafter set forth.

a.) The defendants, their agents and employees are hereby permanently RESTRAINED and ENJOINED from continuing policies and practices of refusing to consider and provide for the educational needs of mentally retarded children for schooling in excess of 180 days per year.

b.) Plaintiffs' request for a mandatory injunction requiring the state and local defendants to provide more than 180 days of schooling per year to particular children is DENIED.

c.) The local defendants are DIRECTED to consider and evaluate, in accordance with the terms of this Order and the Memorandum Opinion, the needs of members of the class of child plaintiffs who are enrolled in the Savannah-Chatham School District. The members of the class of child plaintiffs enrolled in that district's schools

who have already had their Individualized Education Programs developed for the 1981-1982 school year are entitled to reconsiderations which disregard the 180-day policy which previously has been enforced.

3. The motion of the individual local defendants to be dismissed from this action in their individual capacities is GRANTED.

4. The defendants' motions for redefinition of the classes are DENIED.

5. It is ORDERED that all of the individual defendants who no longer hold the public offices they held at the time this action was instituted be dismissed, and that their successors in office be substituted for them in this action.

6. All motions not specifically addressed or ruled on in this order are DENIED as moot.

The clerk of court is DIRECTED to enter judgment in accordance with this order.

SO ORDERED, this 3rd day of April, 1981.

/s/ HORACE T. WARD

HORACE T. WARD, JUDGE
UNITED STATES DISTRICT COURT

ORDER OF THE DISTRICT COURT ON MOTION TO ALTER OR AMEND THE JUDGMENT

IN THE
UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF GEORGIA
ATLANTA DIVISION

GEORGIA ASSOCIATION OF RETARDED CITIZENS, et al.,
Plaintiffs,
v.
DR. CHARLES McDANIEL, et al.,
Defendants.

Civil Action No. C78-1950A

ORDER OF COURT

This matter is currently before the court on plaintiffs' motion, pursuant to Fed.R.Civ.P. 59, to alter or amend this court's judgment of April 3, 1981. Also pending is a motion filed by the local defendants to stay the execution of the judgment pending consideration of plaintiffs' motion to alter or amend. The court will address these motions seriatim.

A. **Motion to Alter or Amend the Judgment**

Plaintiffs' motion seek to alter the court's judgment in several respects. Specifically, the plaintiffs seek an amended order requiring: (a) that the parties present to the court a plan regarding the implementation of the court's judgment; (b) that the state defendants adjust the criteria for monitoring the local educational agencies' compliance with the Education for All Handicapped Children

Act ("Handicapped Act"), 20 U.S.C. §1401 *et seq.*; (c) that notice of the court's judgment be given to hearing officers who will hear appeals pursuant to the Handicapped Act's due process procedure; (d) that the state defendants give notice of the court's judgment to all members of the class, especially those class members who have been denied extended programs in administrative hearings; (e) that local and state educational agencies be required to provide certain statistical information regarding the provision of extended programs; (f) that the state defendants develop criteria to be given to the local authorities regarding the provision of extended programs; and (g) that certain aspects of the state's due process procedure under the Handicapped Act be modified. Plaintiffs contemplate a broad role for themselves in the implementation of the court's order.

After due consideration, the court hereby concludes that plaintiffs' motion to alter or amend the judgment must be DENIED. The general nature of the requests contained in plaintiffs' motion has already been considered as similar suggestions were contained in plaintiffs' Post-Trial Memorandum of Law submitted to the court prior to the rendering of final judgment in this matter. After serious consideration, the court declined to follow that approach and fashioned the judgment as filed. The court is of the view that its memorandum opinion and the judgment pursuant thereto clearly delineates the defendants' respective obligations under the applicable federal statutes, and that no additional order is necessary at this time for proper implementation. The broad remedial and supervisory relief requested by the plaintiffs is not warranted by the current facts and circumstances of this case. The court is mindful that this is a class action but

feels that the relief granted is adequate for the class.

The court notes that certain aspects of the specific relief sought by the plaintiffs in their motion to alter or amend are implicitly required by the original ruling or by provisions of the relevant federal statutes. Specific examples of this would include the obligation of the state defendants to inform local educational authorities in the state of Georgia of the court's ruling as well as the obligation to inform hearing officers. The state defendants have these obligations absent further order of the court. Further, the Handicapped Act requires the state educational authorities to monitor compliance of local authorities with the provisions of the Handicapped Act.

The general tenor of plaintiffs' request appears to presume that the defendants do not intend to comply with the terms of the court's decision. The court has no justification for such a presumption in this case. The court is of the opinion that the possibility of applications for and the issuance of sanctions for noncompliance is sufficient to insure that the defendants will abide by the mandate of the court.[1]

B. **Motion for Stay of Execution of Judgment**

The local defendants have filed a motion to stay execution of the judgment dated April 3, 1981 pursuant to the provisions of Rule 62(b) of the Federal Rules of Civil Procedure. Said defendants suggested that they would have filed a stay of injunction pending appeal pursuant

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[1] Footnote 2 to plaintiffs' brief in support of the motion to alter or amend indicates an assumption by plaintiffs that the court's order regarding the 1981-82 school year applies to the summer months of 1981. However, in directing reconsideration for the 1981-82 school year, the court was referring to the school year that begins in the fall of 1981 and continues through the summer of 1982.

Rule 62(c), but had been effectively prevented from doing so because of the pendency of plaintiffs' motion to alter or amend the judgment. Accordingly, the motion of the local defendants has been treated as a motion for a stay under Rule 62(b). A reading of the brief in support of the local defendants' motion for stay of execution indicates that the defendants are seeking a stay pending a resolution of plaintiffs' motion to alter or amend the judgment. This being the case, the motion of the local defendants is now moot as the court has denied the plaintiffs' motion. Thus, the defendants' motion for stay of execution of judgment is hereby DENIED.

SO ORDERED, this 14th day of May, 1981.

/s/ HORACE T. WARD

HORACE T. WARD
UNITED STATES DISTRICT JUDGE

JUDGMENT OF COURT OF APPEALS

UNITED STATES COURT OF APPEALS
FOR THE ELEVENTH CIRCUIT

No. 81-7485

D.C. Docket No. C78-1950A

GEORGIA ASSOCIATION OF
RETARDED CITIZENS, ET AL.,
Plaintiffs-Appellees,
Cross-Appellants,

versus

DR. CHARLES MCDANIEL, ETC., ET AL.,
Defendants-Appellants,
Cross-Appellees.

Appeals from the United States District Court for the Northern District of Georgia

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Before HILL and VANCE, Circuit Judges, and TUTTLE, Senior Circuit Judge.

JUDGMENT

This cause came on to be heard on the transcript of the record from the United States District Court for the Northern District of Georgia, and was argued by counsel;

ON CONSIDERATION WHEREOF, it is now here ordered and adjudged by this Court that the judgment of the said District Court in this cause be and the same is hereby AFFIRMED;

It is further ordered that defendants-appellants/cross-appellees pay to plaintiffs-appellees/cross-appellants, the costs on appeal to be taxed by the Clerk of this Court.

October 17, 1983

HILL, Circuit Judge, dissenting.

ISSUED AS MANDATE: Dec 13 1983

COURT OF APPEALS'
DENIAL OF MOTION FOR REHEARING

IN THE UNITED STATES COURT OF APPEALS
FOR THE ELEVENTH CIRCUIT

No. 81-7485

[U.S. Court of Appeals, Eleventh Circuit,
Filed Dec. 2, 1983; Spencer D. Mercer, Clerk]

GEORGIA ASSOCIATION OF
RETARDED CITIZENS, et al.,
Plaintiffs-Appellees,
Cross-Appellants,

versus

DR. CHARLES MCDANIEL, etc., et al.,
Defendants-Appellants,
Cross-Appellees.

Appeal from the United States District Court for the Northern District of Georgia

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ON PETITION FOR REHEARING AND SUGGESTIONS FOR REHEARING EN BANC

(Opinion October 17, 11 Cir., 1983, ____ F.2d ____).

(December 2, 1983)

Before HILL and VANCE, Circuit Judges, and TUTTLE, Senior Circuit Judge.

PER CURIAM:

(√) The Petitions for Rehearing are DENIED and no member of this panel nor other Judge in regular active

service on the Court having requested that the Court be polled on rehearing en banc (Rule 35, Federal Rules of Appellate Procedure; Eleventh Circuit Rule 26), the Suggestions for Rehearing En Banc are DENIED.

( ) The Petitions for Rehearing are DENIED and the Court having been polled at the request of one of the members of the Court and a majority of the Circuit Judges who are in regular active service not having voted in favor of it (Rule 35, Federal Rules of Appellate Procedure; Eleventh Circuit Rule 26), the Suggestions for Rehearing En Banc are also DENIED.

( ) A member of the Court in active service having requested a poll on the reconsideration of this cause en banc, and a majority of the judges in active service not having voted in favor of it, rehearing en banc is DENIED.

ENTERED FOR THE COURT:

/s/ JAMES C. HILL
United States Circuit Judge

REHG-7
(Rev. 6/82)

GRANT OF CERTIORARI AND ORDER REMANDING CAUSE AND VACATING JUDGMENT

No. 83-1431. Charles McDaniel, et al., Petitioners v Georgia Association of Retarded Citizens, et al.

No. 83-1451. Board of Public Education for the City of Savannah and the County of Chatham, et al., Petitioners v Georgia Association of Retarded Citizens

July 5, 1984. On petitions for writs of certiorari to the United States Court of Appeals for the Eleventh Circuit. The motion of National School Boards Association, et al. for leave to file a brief as amici curiae in No. 83-1431 is granted. The petitions for writs of certiorari are granted. The judgment is vacated and the cases are remanded to the United States Court of Appeals for the Eleventh Circuit for further consideration in light of Smith v Robinson, 468 US ....., 82 L Ed 2d ....., 104 S Ct ..... (1984).

Same case below, 716 F2d 1565.

OPINION OF THE COURT OF APPEALS ON REMAND

GEORGIA ASSOCIATION OF RETARDED CITIZENS, et al., Plaintiffs-Appellees, Cross-Appellants,

v.

Dr. Charles McDANIEL, etc., et al., Defendants-Appellants, Cross-Appellees.

No. 81-7485.

United States Court of Appeals, Eleventh Circuit.

Sept. 4, 1984.

Action was brought challenging board of educations' policy of not considering or providng more than 180 days of education for profoundly mentally retarded children. The United States District Court for the Northern District of Georgia, Horace T. Ward, J., 511 F.Supp. 1263, granted injunction. The Court of Appeals, 716 F.2d 1565, affirmed. The Supreme Court, 104 S.Ct. 3581, granted certiorari and remanded. On remand, the Court of Appeals held that one who asserts a valid claim under the Education for All Handicapped Children Act may not also proceed under the Rehabilitation Act.

Prior opinion modified.

James C. Hill, Circuit Judge, filed dissenting opinion.

Civil Rights (Key) 13.17(10)
Schools (Key) 148

A plaintiff who asserts a valid claim under Education for All Handicapped Children Act cannot also proceed

under the Rehabilitation Act, and attorney fees cannot be recovered under either Act. Education of the Handicapped Act, § 602 et seq., 20 U.S.C.A. § 1401 et seq.; Rehabilitation Act of 1973, §§ 2 et seq., 504, 29 U.S.C.A. §§ 701 et seq., 794.

Appeals from the United States District Court for the Northern District of Georgia.

ON REMAND FROM THE SUPREME COURT OF THE UNITED STATES

Before HILL and VANCE, Circuit Judges, and TUTTLE, Senior Circuit Judge.

PER CURIAM:

In our previous opinion in this case, reported at 716 F.2d 1565, we affirmed the judgment of the trial court granting an injunction against the defendants' continuing policy of not considering or providing more than 180 days of education for profoundly mentally retarded children. In our opinion we expressly based our affirmance on our consideration of the Education for the Handicapped Children Act, 20 U.S.C. § 1401 et seq. ("EHA") and also on our consideration of § 504 of the Rehabilitation Act of 1973, 29 U.S.C. § 794.

By order entered July 5, 1984 the Supreme Court granted certiorari and vacated our judgment and remanded the case "for further consideration in light of *Smith v. Robinson*, 468 U.S. [.....], 104 S.Ct. 3457 [81 L.Ed.2d .....] (1984)." *McDaniel v. Georgia Association of Retarded Citizens*, ... U.S. ....., 104 S.Ct. 3581, 81 L.Ed.2d .....

The Court's decision in *Robinson* requires that we modify our previous opinion. In *Robinson* the Court held that a plaintiff who asserted a valid claim under the EHA could not also proceed under the Rehabilitation Act and

that recovery could not be had for attorney's fees under either Act.

Although this issue of attorney's fees was not present in our case, we did expressly state that recovery could be had under the Rehabilitation Act. This is now incorrect.

We therefore modify our previous opinion by making the following deletions: (1) delete the words "and § 504 of the Rehabilitation Act of 1973" from the last sentence of the first paragraph of the opinion; (2) delete all of part VI B of the opinion.

We also strike the final paragraph of our previous judgment and substitute in lieu thereof the following:

> The judgment of the district court is AFFIRMED to the extent that it granted relief to plaintiffs under the Education for Handicapped Children's Act.

Its holding that plaintiffs were entitled to relief under the Rehabilitation Act is REVERSED.

Except for these changes, the previous opinion is adopted and made the judgment of the Court.

JAMES C. HILL, Circuit Judge, dissenting:

I dissent for the reasons set forth in my dissent to the original panel opinion. *Georgia Association of Retarded Citizens v. McDaniel*, 716 F.2d 1565, 1581 (11th Cir. 1983).